

**PCT**WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(S1) International Patent Classification 6 : <b>A61K 39/21, 39/395, 45/05, C07K 14/16, 14/715, 16/28</b>	A1	(11) International Publication Number: <b>WO 97/47318</b> (43) International Publication Date: 18 December 1997 (18.12.97)
(21) International Application Number: <b>PCT/US97/10233</b>		(74) Agent: WHITE, John, P.; Cooper & Dunham LLP, 1185 Avenue of the Americas, New York, NY 10036 (US).
(22) International Filing Date: <b>13 June 1997 (13.06.97)</b>		
(30) Priority Data: <b>08/665,090 14 June 1996 (14.06.96) US 60/019,941 14 June 1996 (14.06.96) US</b>		(81) Designated States: AU, CA, JP, MX, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).
(71) Applicants: PROGENICS PHARMACEUTICALS, INC. [US/US]; 777 Old Saw Mill River Road, Tarrytown, NY 10591 (US). AARON DIAMOND AIDS RESEARCH CENTRE [US/US]; 7th floor, 455 First Avenue, New York, NY 10016 (US).		Published <i>With international search report.</i>
(72) Inventors: ALLAWAY, Graham, P.; 1778 Horton Avenue, Mohegan Lake, NY 10547 (US). DRAGIC, Tatjana; 111 Harvard Drive, Hartsdale, NY 10530 (US). LITWIN, Virginia, M.; 581 Buttonvale Road, Fayetteville, NY 13066 (US). MADDON, Paul, J.; Apartment 25C, 60 Haven Avenue, New York, NY 10032 (US). MOORE, John, P.; Apartment 23C, 500 East 63rd Street, New York, NY 10021 (US). TRKOLA, Alexandra; Aaron Diamond AIDS Research Center, 7th floor, 455 First Avenue, New York, NY 10016 (US).		

(54) Title: USES OF A CHEMOKINE RECEPTOR FOR INHIBITING HIV-1 INFECTION

## (57) Abstract

This invention provides a polypeptide comprising a fragment of a chemokine receptor capable of inhibiting HIV-1 infection. In an embodiment, the chemokine receptor is C-C CKR-5. In another embodiment, the fragment comprises at least one extracellular domain of the chemokine receptor C-C CKR-5. This invention further provides different uses of the chemokine receptor for inhibiting HIV-1 infection.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
RJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NB	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakhstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LJ	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		

USES OF A CHEMOKINE RECEPTOR  
FOR INHIBITING HIV-1 INFECTION

This application claims priority of U.S. Provisional Application Serial No. 60/019,941, filed June 14, 1996, the content of which is incorporated into this application by reference.

5

The invention described in this application was made with support under Grants Nos. Al35522, Al36057, Al36082 and Al38573 from the National Institutes of Health, U.S. Department of Health and Human Service. Accordingly, the 10 United States Government has certain rights in this invention.

Throughout this application, various references are referred to by arabic numerals within parenthesis. Disclosures of 15 these publications in their entireties are hereby incorporated by reference into this application to more fully describe the state of the art to which this invention pertains. Full bibliographic citation for these references may be found at the end of each series of experiments.

20

Background of the Invention

The replication of primary, non-syncytium-inducing (NSI) HIV-1 isolates in CD4<sup>+</sup> T-cells is inhibited by the C-C 25  $\beta$ -chemokines MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES (1,2), but T-cell line-adapted (TCLA) or syncytium-inducing (SI) primary strains are insensitive (2,3). The  $\beta$ -chemokines are small (8kDa), related proteins active on cells of the lymphoid and monocyte lineage (4-8). Their receptors are members of the 30 7-membrane-spanning, G-protein-linked superfamily, one of which (the LESTR orphan receptor) has been identified as the second receptor for TCLA HIV-1 strains, and is now designated fusin (9). Fusin is not known to be a  $\beta$ -chemokine receptor (7-9).

-2-

Summary of the Invention

This invention provides a polypeptide having a sequence corresponding to the sequence of a portion of a chemokine receptor capable of inhibiting the fusion of HIV-1 to CD4<sup>+</sup> cells and thus infection of the cells. In an embodiment, the chemokine receptor is C-C CKR-5. The CCKR-5 is also named as CCR5. In another embodiment, the polypeptide comprises amino acids having a sequence of at least one extracellular domain of C-C CKR-5.

10

In a preferred embodiment, the portion of a chemokine receptor comprises amino acid sequence MDYQVSSPIYDINYYTSEPCQKINVKQIAAR (SEQ ID NO: 5). In another preferred embodiment, the portion comprises amino acid sequence HYAAAQWDFGNTMCQ (SEQ ID NO: 6). In still another preferred embodiment, the portion comprises amino acid sequence RSQKEGLHYTCSSHFPYSQYQFWKNFQTLKIV (SEQ ID NO: 7). In a separate preferred embodiment, the portion comprises amino acid sequence QEFFGLNNCSSSNRLDQ (SEQ ID NO: 8). The portion of the chemokine receptor C-C CKR-5 may comprise one or more of the above sequences. The polypeptides may contain part of the above illustrated sequences and still be capable of inhibiting HIV-1 infection. The minimal number of amino acids sufficient to inhibit HIV-1 infection may be determined by the RET or infection assays as described below.

30 This invention also provides a pharmaceutical composition comprising effective amount of one or more of the above polypeptides and a pharmaceutically acceptable carrier.

35 This invention also provides a polypeptide having a sequence corresponding to that of a portion of an HIV-1 glycoprotein capable of specifically binding to the chemokine receptor C-C CKR-5.

- 3 -

This invention provides a pharmaceutical composition comprising effective amount of one or more polypeptides having a sequence corresponding to the sequence of a portion of an HIV-1 glycoprotein capable of specifically binding to the chemokine receptor C-C CKR-5 and a pharmaceutically acceptable carrier.

10 This invention provides an antibody or a portion of an antibody capable of binding to a chemokine receptor on a CD4<sup>+</sup> cell and inhibiting HIV-1 infection of the cell.

15 This invention also provides a pharmaceutical composition comprising an effective amount of an antibody capable of binding to a chemokine receptor on a CD4<sup>+</sup> cell and inhibiting HIV-1 infection of the cell and a pharmaceutically acceptable carrier.

20 This invention provides a method of treating an HIV-1 infected subject comprising administering to the subject the above polypeptides, antibody and pharmaceutical compositions.

25 This invention provides a method of reducing the likelihood of a subject from becoming infected by HIV-1 comprising administering to the subject the above pharmaceutical compositions.

30 This invention provides a method for inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells which comprises contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to the chemokine receptor C-C CKR-5 in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited.

This invention provides a method for inhibiting HIV-1 infection of CD4<sup>+</sup> cells which comprises contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to the chemokine receptor C-C CKR-5 in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited, thereby inhibiting HIV-1 infection of the cells.

5

This invention provides a non-chemokine agent capable of binding to the chemokine receptor C-C CKR-5 and inhibiting HIV-1 infection.

10

15

This invention provides a molecule capable of binding to the chemokine receptor C-C CKR-5 and inhibiting HIV-1 infection comprising a non-chemokine agent linked to a ligand capable of binding to a cell surface receptor of the CD4<sup>+</sup> cells other than the chemokine receptor such that the binding of the non-chemokine agent to the chemokine receptor does not prevent the binding of the ligand to the other receptor.

20

This invention provides a pharmaceutical composition comprising an amount of the above molecules effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

25

30

This invention also provides a molecule capable of binding to the chemokine receptor C-C CKR-5 and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked to a compound capable of increasing the *in vivo* half-life of the non-chemokine agent.

35

This invention further provides a pharmaceutical composition comprising an amount of the molecule capable of binding to the chemokine receptor C-C CKR-5 and inhibiting HIV-1 infection comprising a non-chemokine agent linked to a

-5-

compound capable of increasing the *in vivo* half-life of the non-chemokine agent effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

5 This invention provides a method for reducing the likelihood of HIV-1 infection in a subject comprising administering the above pharmaceutical compositions to the subject.

10 This invention provides a method for treating HIV-1 infection in a subject comprising administering the above pharmaceutical compositions to the subject.

15 This invention provides a method for determining whether a non-chemokine agent is capable of inhibiting the fusion of HIV-1 to a CD4<sup>+</sup>, C-C CKR-5<sup>+</sup> cell which comprises: (a) contacting a CD4<sup>+</sup>, C-C CKR-5<sup>+</sup> cell, which is labeled with a first dye, with a cell expressing an appropriate HIV-1 envelope glycoprotein on its surface, which is labeled with a second dye, in the presence of excess of the agent under 20 conditions permitting the fusion of the CD4<sup>+</sup> and C-C CKR-5<sup>+</sup> cell to the cell expressing the HIV-1 envelope glycoprotein on its surface in the absence of an agent known to inhibit fusion of HIV-1 to CD4<sup>+</sup>, C-C CKR-5<sup>+</sup> cell, the first and second dyes being selected so as to allow resonance energy transfer between the dyes; (b) exposing the product of step 25 (a) to conditions which would result in resonance energy transfer if fusion has occurred; and (c) determining whether there is resonance energy transfer, the absence or reduction of transfer indicating that the agent is capable of inhibiting fusion of HIV-1 to CD4<sup>+</sup> and C-C CKR-5<sup>+</sup> cells.

30  
35 This invention also provides a transgenic nonhuman animal which comprises an isolated DNA molecule encoding the chemokine receptor C-C CKR-5. In an embodiment, this transgenic nonhuman animal further comprises an isolated DNA

-6-

molecule encoding a sufficient portion of the CD4 molecule to permit binding the HIV-1 envelope glycoprotein.

5 This invention further provides a transgenic nonhuman animal which comprises an isolated DNA molecule encoding the chemokine receptor C-C CKR-5 and an isolated DNA molecule encoding fusin. In an embodiment, this transgenic nonhuman animal further comprises an isolated DNA molecule encoding the full-length or portion of the CD4 molecule sufficient  
10 for binding the HIV-1 envelope glycoprotein.

This invention also provides transformed cells which comprise an isolated nucleic acid molecule encoding the chemokine receptor C-C CKR-5.

15 Finally, his invention provides an agent capable of inhibiting HIV-1 infection and capable of binding to a chemokine receptor without substantially affecting the said chemokine receptor's capability to bind to chemokines.

- 7 -

Description of the FiguresFigure 1: Specificity, time course and stage of  $\beta$ -chemokine inhibition of HIV-1 replication

(1A) PM1 cells ( $1 \times 10^6$ ) were preincubated with RANTES + MIP-1 $\alpha$  + MIP-1 $\beta$  (R/M $\alpha$ /M $\beta$ ; 100ng/ml of each) for 24h (-24h) or 2h (-2h), then washed twice with phosphate buffered saline (PBS). HIV-1 (BaL env-complemented) virus (50ng of p24; see legend to Table 1) was added for 2h, then the cells were washed and incubated for 48h before measurement of luciferase activity in cell lysates as described previously (10,11). Alternatively, virus and R/M $\alpha$ /M $\beta$  were added simultaneously to cells, and at the indicated time points (1h, 3h, etc) the cells were washed twice in PBS, resuspended in culture medium and incubated for 48h prior to luciferase assay. Time 0 represents the positive control, to which no  $\beta$ -chemokines were added. +2h represents the mixture of virus with cells for 2h prior to washing twice in PBS, addition of R/M $\alpha$ /M $\beta$  and continuation of the culture for a further 48h before luciferase assay.

(1B) PM1 cells ( $1 \times 10^6$ ) were infected with HIV-1 (500pg p24) grown in CEM cells (NL4/3; lanes 1-4) or macrophages (ADA; lanes 5-8), in the presence of 500ng/ml of RANTES (lanes 1 and 5) or MIP-1 $\beta$  (lanes 2 and 6), or with no  $\beta$ -chemokine (lanes 4 and 8). Lanes 3 and 7 are negative controls (no virus). All viral stocks used for the PCR assay were treated with DNase for 30 min at 37°C, and tested for DNA contamination before use. After 2h, the cells were washed and resuspended in medium containing the same  $\beta$ -chemokines for a

- 8 -

further 8h. DNA was then extracted from infected  
cells using a DNA/RNA isolation kit (US  
Biochemicals). First round nested PCR was  
performed with primers: U3+,  
5  
5'-CAAGGCTACTTCCCTGATTGGCAGAACTACACACCAGG-3' (SEQ  
ID NO:1) preGag, 5'-AGCAAGCCGAGTCCTGCCTCGAGAG-3'  
(SEQ ID NO:2) and the second round with primers:  
LTR-test, 5'-GGGACTTTCCGCTGGGACTTTC 3' (SEQ ID NO  
:3) LRC2, 5'-CCTGTTGGCGCCACTGCTAGAGATTTCCAC 3'  
10 (SEQ ID NO:4) in a Perkin Elmer 2400 cycler with  
the following amplification cycles: 94°C for 5  
min, 35 cycles of 94°C for 30s, 55°C for 30s, 72°C  
for 30s, 72°C for 7 min. M indicates 1kb DNA  
ladder; 1, 10, 100, 1000 indicate number of  
15 reference plasmid (pAD8) copies. The assay can  
detect 100 copies of reverse transcripts.

20 **Figure 2: HIV-1 env-mediated membrane fusion of cells  
 transiently expressing C-C CKR-5**

Membrane fusion mediated by  $\beta$ -chemokine receptors  
expressed in HeLa cells was demonstrated as  
follows: Cells were transfected with control  
plasmid pcDNA3.1 or plasmid pcDNA3.1 -CKR  
constructs using lipofectin (Gibco BRL). The  
pcDNA3.1 plasmid carries a T7-polymerase promoter  
and transient expression of  $\beta$ -chemokine receptors  
was boosted by infecting cells with  $1 \times 10^7$  pfu of  
vaccinia encoding the T7-polymerase (vFT7.3) 4h  
post-lipofection (9). Cells were then cultured  
overnight in R18-containing media and were tested  
for their ability to fuse with HeLa-JR-FL cells  
(filled columns) or HeLa-BRU cells (hatched  
column) in the RET assay. The %RET with control  
HeLa cells was between 3% and 4% irrespective of  
30 the transfected plasmid.  
35

-9-

Figure 3: CD4-dependent competition between gp120 and MIP-1 $\beta$  for CCR-5 binding.

(3A) JR-FL gp120 (filled squares), LAI gp120 (filled triangles), JR-FL- $\Delta$ V3 gp120 (open squares or LAI- $\Delta$ V3 gp120 (open triangles) was added to activated CD4 $^{+}$  T cells and the extent of specific  $^{125}\text{I}$ -MIP-1 $\beta$  binding determined. Data shown are the means of three independent experiments, each performed in duplicate.

(3B) JR-FL gp120 ( $2 \mu\text{g ml}^{-1}$ ) and  $^{125}\text{I}$ -MIP-1 $\beta$  (0.1 nM) were added to activated CD4 $^{+}$  T cells in the presence of the monoclonal antibody Q4120 (filled circles) or sCD4 (filled squares) at the concentrations indicated. The extent of specific  $^{125}\text{I}$ -MIP-1 $\beta$  binding was determined, and the percentage inhibition of the gp120 competitive effect was calculated for each antibody concentration (none present is 0% inhibition). The experiment shown was one of two performed, each yielding similar results.

Figure 4. Flow cytometric analysis of the binding of sCD4-gp120 complexes to (B)CCR5 $^{-}$  and (B)CCR5 $^{+}$  L1.2 cells, a murine pre-B lymphoma line

Cells are incubated for 15 min. with equimolar (~100nM) mixtures of sCD4 and biotinylated HIV-1<sub>JR</sub>-FL gp120 and then stained with a streptavidin-phycoerythrin conjugate, fixed with 2% paraformaldehyde, and analyzed by FACS. Cell number is plotted on the y-axis.

Figure 5. Inhibition of binding of HIV-1<sub>JR-FL</sub> gp120,

-10-

complexed with sCD4, to butyrate-treated L1.2 CCR5<sup>+</sup> cells

The inhibitors were the CC chemokines MIP-1 $\beta$  or RANTES at the concentrations indicated on the x axis.

5                   Figure 6. Inhibition of HIV-1 envelope-mediated cell fusion by the bicyclam JM3100

10                  The inhibition was measured using the RET assay, with the cell combinations indicated.

-11-

Detailed Description of the Invention

This invention provides a polypeptide having a sequence corresponding to the sequence of a portion of a chemokine receptor capable of inhibiting the fusion of HIV-1 to CD4<sup>+</sup> cells and thus infection of the cell. In an embodiment, the chemokine receptor is C-C CKR-5 (CCR5). In another embodiment, the fragment comprises at least one extracellular domain of the chemokine receptor C-C CKR-5. In a further embodiment, the extracellular domain is the second extracellular loop of CCR5.

In a separate embodiment, the chemokine receptor is CCR3 or CKR-2b (31, 32).

The sequence of a portion of the chemokine receptor includes the original amino acids or modified amino acids from the receptor, their derivatives and analogues. Such sequence should retain the ability to inhibit HIV-1 infection. Sequences of fusin are also included.

In a preferred embodiment, the portion of a chemokine receptor comprises amino acid sequence MDYQVSSPIYDINYYTSEPCQKINVVKQIAAR (SEQ ID NO: 5). In another preferred embodiment, the portion comprises amino acid sequence HYAAAQWDFGNTMCQ (SEQ ID NO: 6). In still another preferred embodiment, the portion comprises amino acid sequence RSQKEGLHYTCSSHFPYSQYQFWKNFQTLKIV (SEQ ID NO: 7). In a separate preferred embodiment, the portion comprises amino acid sequence QEFFGLNNCSSSNRLDQ (SEQ ID NO: 8). The portion of the chemokine receptor C-C CKR-5 may comprise one or more of the above sequences. The polypeptides may contain part of the above illustrated sequences and still be capable of inhibiting HIV-1 infection. The minimal number of amino acids sufficient to inhibit HIV-1 infection may be determined by the RET or infection assays as described

-12-

below.

The polypeptides described above may be fusion molecules such that the fragments are linked to other molecules. In  
5 an embodiment, the molecule is a CD4-based molecule. CD4-based molecules are known in the art and described in Allaway et al. (1996), Patent Cooperation Treaty Application No. PCT/US95/08805, publication no. WO 96/02575, the content of which is incorporated by reference into this application.  
10 In another embodiment, the polypeptide contains multiple units of one or more portions of a chemokine receptor. In a preferred embodiment, the polypeptide contains sequences corresponding to multiple units of one or more extracellular domains of the chemokine receptor C-C CKR-5.  
15

This invention also provides a pharmaceutical composition comprising effective amount of the above polypeptide and a pharmaceutically acceptable carrier.  
20 As used herein, the term "pharmaceutically acceptable carrier" encompasses any of the standard pharmaceutical carriers, such as a phosphate buffered saline solution, water, and emulsions, such as an oil/water or water/oil emulsion, and various types of wetting agents.  
25

This invention also provides a polypeptide having a sequence corresponding to that of a portion of an HIV-1 envelope glycoprotein capable of specifically binding to the chemoreceptor C-C CKR-5. Such a sequence may be identified  
30 by routine experiments. For example, overlapping synthetic peptides representing fragments of gp120 or gp41 can be tested in the RET assay for their ability to inhibit fusion between cells expressing the envelope glycoprotein of HIV-1 clinical isolates and cells expressing CD4 and C-C CKR-5.  
35 Peptides inhibiting fusion in this assay are also screened

-13-

in the RET assay for ability to inhibit fusion mediated by the envelope glycoprotein of HIV-1 laboratory-adapted strains and peptides which are inhibitory in this later assay are discarded. As an alternative method, the peptides 5 can be tested for their ability to compete with chemokines for binding to cell expressing C-C CKR-5.

This invention provides a pharmaceutical composition comprising effective amount of the polypeptide comprising a fragment of HIV-1 glycoprotein capable of specifically 10 binding to the chemokine receptor C-C CKR-5 and a pharmaceutically acceptable carrier.

This invention provides an antibody or a portion of an 15 antibody thereof capable of binding to a chemokine receptor on a CD4<sup>+</sup> cell and inhibiting HIV-1 infection of the cell.

This invention also provides a pharmaceutical composition comprising effective amount of antibody capable of binding 20 to a chemokine receptor and inhibiting HIV-1 infection and a pharmaceutically acceptable carrier.

This invention provides a method of treating an HIV-1 infected subject comprising administering to the subject the 25 above pharmaceutical compositions.

This invention provides a method of reducing the likelihood 30 of a subject from becoming infected by HIV-1 comprising administering to the subject the above pharmaceutical compositions.

This invention provides a method for inhibiting fusion of 35 HIV-1 to CD4<sup>+</sup> cells which comprises contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to the chemokine receptor C-C CKR-5 in an amount and under

-14-

conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited.

5 This invention provides a method for inhibiting HIV-1 infection of CD4<sup>+</sup> cells which comprises contacting CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to the chemokine receptor C-C CKR-5 in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited, thereby inhibiting HIV-1 infection.

10

The non-chemokine agents of this invention are capable of binding to chemokine receptors and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells. The non-chemokine agents include, but are not limited to, chemokine fragments and chemokine derivatives and analogues, but do not include naturally occurring chemokines.

15 In an embodiment, the non-chemokine agent is an oligopeptide. In another embodiment, the non-chemokine agent is a polypeptide. In still another embodiment, the non-chemokine agent is a nonpeptidyl agent.

20 This invention provides a non-chemokine agent capable of binding to the chemokine receptor C-C CKR-5 and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells.

25 This invention provides a molecule capable of binding to the chemokine receptor C-C CKR-5 and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked to a ligand capable of binding to a cell surface receptor of the CD4<sup>+</sup> cells other than the chemokine receptor such that the binding of the non-chemokine agent to the chemokine receptor does not prevent the binding of the ligand to the other receptor. In an embodiment, the cell surface receptor is CD4. In another embodiment, the ligand comprises an

-15-

antibody or a portion of an antibody.

This invention provides a pharmaceutical composition comprising an amount of the above molecule effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

This invention also provides a molecule capable of binding to the chemokine receptor C-C CKR-5 and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked to a compound capable of increasing the *in vivo* half-life of the non-chemokine agent. In an embodiment, the compound is polyethylene glycol.

This invention further provides a pharmaceutical composition comprising an amount of the molecule capable of binding to the chemokine receptor C-C CKR-5 and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked to a compound capable of increasing the *in vivo* half-life of the non-chemokine agent effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

This invention provides a method for reducing the likelihood of HIV-1 infection in a subject comprising administering the above pharmaceutical compositions to the subject.

This invention provides a method for treating HIV-1 infection in a subject comprising administering the above pharmaceutical compositions to the subject.

This invention provides a method for determining whether a non-chemokine agent is capable of inhibiting the fusion of HIV-1 to a CD4<sup>+</sup>, C-C CKR-5<sup>+</sup> cell which comprises: (a) contacting a CD4<sup>+</sup>, C-C CKR-5<sup>+</sup> cell, which is labeled with a first dye, with a cell expressing an appropriate HIV-1

-16-

envelope glycoprotein on its surface, which is labeled with a second dye, in the presence of excess of the agent under conditions permitting the fusion of the CD4<sup>+</sup> and C-C CKR-5<sup>+</sup> cell to the cell expressing the HIV-1 envelope glycoprotein on its surface in the absence of an agent known to inhibit fusion of HIV-1 to CD4<sup>+</sup>, C-C CKR-5<sup>+</sup> cell, the first and second dyes being selected so as to allow resonance energy transfer between the dyes; (b) exposing the product of step (a) to conditions which would result in resonance energy transfer if fusion has occurred; and (c) determining whether there is resonance energy transfer, the absence or reduction of transfer indicating that the agent is capable of inhibiting fusion of HIV-1 to CD4<sup>+</sup> and C-C CKR-5<sup>+</sup> cells. In an embodiment, the agent is an oligopeptide. In another embodiment, the agent is a polypeptide. In still another embodiment, the agent is a nonpeptidyl agent. In a further embodiment, the CD4<sup>+</sup> cell is a PM1 cell. In a separate embodiment, the cell expressing the HIV-1 envelope glycoprotein is a HeLa cell expressing HIV-1<sub>JR-FL</sub> gp120/gp41.

20

This invention also provides a transgenic nonhuman animal which comprises an isolated DNA molecule encoding the chemokine receptor C-C CKR-5. In an embodiment, this transgenic nonhuman animal further comprises an isolated DNA molecule encoding a sufficient portion of the CD4 molecule to permit binding the HIV-1 envelope glycoprotein.

25  
30

This invention further provides a transgenic nonhuman animal which comprises an isolated DNA molecule encoding the chemokine receptor C-C CKR-5 and an isolated DNA molecule encoding fusin. In an embodiment, this transgenic nonhuman animal further comprises an isolated DNA molecule encoding a sufficient portion of the CD4 molecule to permit binding the HIV-1 envelope glycoprotein.

35

-17-

One means available for producing a transgenic animal, with a mouse as an example, is as follows: Female mice are mated, and the resulting fertilized eggs are dissected out of their oviducts. The eggs are stored in an appropriate 5 medium such as M2 medium (Hogan B. et al. Manipulating the Mouse Embryo, A Laboratory Manual, Cold Spring Harbor Laboratory (1986)). DNA or cDNA encoding the C-C CKR-5 chemokine receptor or CD4 is purified from a vector by methods well known in the art. Inducible promoters may be 10 fused with the coding region of the DNA to provide an experimental means to regulate expression of the trans-gene. Alternatively or in addition, tissue specific regulatory elements may be fused with the coding region to permit 15 tissue-specific expression of the trans-gene. The DNA, in an appropriately buffered solution, is put into a microinjection needle (which may be made from capillary tubing using a pipet puller) and the egg to be injected is put in a depression slide. The needle is inserted into the pronucleus of the egg, and the DNA solution is injected. 20 The injected egg is then transferred into the oviduct of a pseudopregnant mouse (a mouse stimulated by the appropriate hormones to maintain pregnancy but which is not actually pregnant), where it proceeds to the uterus, implants, and develops to term. As noted above, microinjection is not the 25 only method for inserting DNA into the egg cell, and is used here only for exemplary purposes.

This invention provides transformed cells which comprise an isolated nucleic acid molecule encoding the chemokine 30 receptor C-C CKR-5.

This invention also provides an agent capable of inhibiting HIV-1 infection and capable of binding to a chemokine receptor without substantially affecting the said chemokine 35 receptor's capability to bind to chemokines.

-18-

As used herein, the term "without substantially affecting" mean that after the binding of the agent to the chemokine receptor, the chemokine receptor should still be able to bind to chemokines. Under some conditions, following  
5 binding of an agent to a chemokine receptor, a higher concentration of the chemokine is required to achieve the degree of binding observed if the chemokine receptor had not been bound to the agent. In a preferred embodiment of this  
10 agent, the chemokine concentration required to achieve the same binding is two fold. In another embodiment, the chemokine concentration is ten fold.

In a preferred embodiment of this invention, the chemokine receptor is CCR5. In another embodiment, the chemokine  
15 receptor is CXCR4, CCR3 or CCR-2b.

This agent may be an oligopeptide, a nonpeptidyl agent or a polypeptide. Alternatively, this agent can be an antibody or a portion of an antibody.  
20

This invention further provides a pharmaceutical composition comprising an amount of the above agent effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.  
25

This invention provides a method for inhibiting HIV-1 infection of CD4<sup>+</sup> cells which comprises contacting such CD4<sup>+</sup> cells with an agent capable of inhibiting HIV-1 infection and capable of binding to a chemokine receptor without substantially affecting the said chemokine receptor's capability to bind to chemokines.  
30

This invention also provides a molecule capable of binding to the chemokine receptor CCR5 and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells without substantially affecting the said  
35

-19-

chemokine receptor's capability to bind to chemokines linked to a compound capable of increasing the *in vivo* half-life of the non-chemokine agent. In an embodiment, the compound is polyethylene glycol.

5

This invention provides a pharmaceutical composition comprising an amount of the above molecule effective to inhibit HIV-1 infection and a pharmaceutically acceptable carrier.

10

This invention provides a method for reducing the likelihood of HIV-1 infection in a subject comprising administering the above pharmaceutical composition to the subject.

15

This invention provides a method for treating HIV-1 infection in a subject comprising administering the above pharmaceutical composition to the subject.

20

This invention provides a method for determining whether an agent is capable of inhibiting HIV-1 infection comprising steps of: (a) fixing a chemokine receptor on a solid matrix; (b) contacting the agent with the fixed chemokine receptor under conditions permitting the binding of the agent to the chemokine receptor; (c) removing the unbound agent; (d) contacting the fixed chemokine receptor resulting in step (c) with a gp120 in the presence of CD4 under conditions permitting the binding of the gp120/CD4 complex and the chemokine receptor in the absence of the agent; (e) measuring the amount of bound gp120/CD4 complex; and (f) comparing the amount determined in step (d) with the amount determined in the absence of the agent, a decrease of the amount indicating that the agent is capable of inhibiting HIV-1 infection.

35

-20-

This invention also provides a method for determining whether an agent is capable of inhibiting HIV-1 infection comprising steps of: (a) fixing a chemokine receptor on a solid matrix; (b) contacting the agent with the fixed chemokine receptor; (c) contacting the mixture in step (b) with a gp120 in the presence of CD4 under conditions permitting the binding of the gp120/CD4 complex and the chemokine receptor in the absence of the agent; (d) measuring the amount of bound gp120/CD4 complex; and (e) comparing the amount determined in step (d) with the amount determined in the absence of the agent, a decrease of the amount indicating that the agent is capable of inhibiting HIV-1 infection.

This invention also provides a method for determining whether an agent is capable of inhibiting HIV-1 infection comprising steps of: (a) fixing a gp120/CD4 complex on a solid matrix; (b) contacting the agent with the fixed gp120/CD4 complex under conditions permitting the binding of the agent to the gp120/CD4 complex; (c) removing unbound agent; (d) contacting the fixed gp120/CD4 complex resulting from step (c) with a chemokine receptor under conditions permitting the binding of the gp120/CD4 complex and the chemokine receptor in the absence of the agent; (e) measuring the amount of bound chemokine receptor; and (f) comparing the amount determined in step (e) with the amount determined in the absence of the agent, a decrease of the amount indicating that the agent is capable of inhibiting HIV-1 infection.

This invention provides a method for determining whether an agent is capable of inhibiting HIV-1 infection comprising steps of: (a) fixing a gp120/CD4 on a solid matrix; (b) contacting the agent with the fixed gp120/CD4 complex; (c) contacting the mixture in step (b) with a chemokine receptor

-21-

under conditions permitting the binding of the gp120/CD4 complex and the chemokine receptor in the absence of the agent; (d) measuring the amount of bound chemokine receptor; (e) comparing the amount determined in step (d) with the 5 amount determined in the absence of the agent, a decrease of the amount indicating that the agent is capable of inhibiting HIV-1 infection.

As used in these assays, CD4 include soluble CD4, fragment 10 of CD4 or polypeptides incorporating the gp120 binding site of CD4 capable of binding gp120 and enabling the binding of gp120 to the appropriate chemokine receptor.

As used in these assays, gp120 is the gp120 from an 15 appropriate strain of HIV-1. For example, gp120 from the macrophage tropic clinical isolate HIV-1<sub>JR-FL</sub> will bind to the chemokine receptor CCR5, whereas gp120 from the laboratory adapted T-tropic strain HIV-1<sub>LAI</sub> will bind to the chemokine receptor CXCR4.

20 In a preferred embodiment of the above methods, the CD4 is a soluble CD4. The chemokine receptor which may be used in the above assay includes CCR5, CXCR4, CCR3 and CCR-2b.

25 In an embodiment, the chemokine receptor is expressed on a cell. In a preferred embodiment, the cell is a L1.2 cell. In a separate embodiment, the chemokine receptor is purified and reconstituted in liposomes. Such chemokine receptor embedded in the lipid bilayer of liposomes retains the gp120 30 binding activity of the receptor.

The gp120, CD4 or both may be labelled with a detectable 35 marker in the above assays. Markers including radioisotope or enzymes such as horse radish peroxidase may be used in this invention.

-22-

In an embodiment, the gp120 or CD4 or the chemokine receptor is labelled with biotin. In a further embodiment, the biotinylated gp120, or CD4 or the chemokine receptor is detected by: (i) incubating with streptavidin-phycoerythrin,  
5 (ii) washing the incubated mixture resulting from step (i), and (iii) measuring the amount of bound gp120 using a plate reader, exciting at 530nm, reading emission at 590nm.

10 This invention also provides an agent determined to be capable of inhibiting HIV-1 infection by the above methods, which is previously unknown.

15 This invention also provides a pharmaceutical composition comprising the agent determined to be capable of inhibiting HIV-1 infection by the above methods and a pharmaceutically acceptable carrier. In an embodiment, the agent is an oligopeptide. In another embodiment, the agent is a polypeptide. In a still another embodiment, the agent is a nonpeptidyl agent.  
20

25 This invention also provides a molecule capable of binding to the chemokine receptor CCR5 and inhibiting fusion of HIV-1 to CD4' cells comprising the above determined agent linked to a compound capable of increasing the *in vivo* half-life of the non-chemokine agent. In an embodiment, the compound is polyethylene glycol. This invention also provides a pharmaceutical composition comprising an amount of the above molecule effective to inhibit HIV-1 infection and a pharmaceutically acceptable carrier.

30 This invention provides a method for reducing the likelihood of HIV-1 infection in a subject comprising administering the above pharmaceutical compositions to the subject.

35

-23-

This invention provides a method for treating HIV-1 infection in a subject comprising administering the above pharmaceutical composition to the subject.

5      The invention will be better understood by reference to the Experimental Details which follow, but those skilled in the art will readily appreciate that the specific experiments detailed are only illustrative, and are not meant to limit the invention as described herein, which is defined by the  
10     claims which follow thereafter.

-24-

Experimental Details

To study how  $\beta$ -chemokines inhibit HIV-1 replication, a virus entry assay based on single-cycle infection by an env-deficient virus, NL4/3 $\Delta$ env (which also carries the luciferase reporter gene), complemented by envelope glycoproteins expressed in trans was used (10,11). Various env-complemented viruses were tested in PM1 cells, a variant of HUT-78 that has the unique ability to support replication of primary and TCLA HIV-1 strains, allowing comparison of envelope glycoprotein functions against a common cellular background (2,12). MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES are most active against HIV-1 in combination (2,3), and strongly inhibited infection of PM1 cells by complemented viruses whose envelopes are derived from the NSI primary strains ADA and BaL (Table 1a).

**Table 1: Inhibition of HIV-1 entry in PM1 cells and CD4 $^{+}$  T-cells by  $\beta$ -chemokines**

	% luciferase activity				
	BaL	ADA	NL4/3	HxB2	MuLV
<b>a)</b> <b>PM1 cells</b>					
control without virus	2	2	2	5	3
control with virus	100	100	100	100	100
+R/M $\alpha$ /M $\beta$ (50/50/50)	2	3	92	117	100
+RANTES (100)	1	1	nd	nd	nd
+MIP-1 $\alpha$ (100)	54	54	nd	nd	nd
+MIP-1 $\beta$ (100)	1	6	nd	nd	nd
+MCP-1 (100)	46	50	nd	nd	nd
+MCP-2 (100)	28	26	nd	nd	nd
+MCP-3 (100)	58	46	nd	nd	nd
<b>b)</b> <b>LW4 CD4<math>^{+}</math> T-cells</b>	JR-FL	HxB2	MuLV		
control without virus	1	1	1		
control with virus	100	100	100		
+R/M $\alpha$ /M $\beta$ (200/200/200)	14	68	nd		
<b>LW5 CD4<math>^{+}</math> T-cells</b>					
control without virus	1	1	1		
control with virus	100	100	100		
+R/M $\alpha$ /M $\beta$ (200/200/200)	15	73	nd		

-25-

**Table 1 legend:**

PM1 cells were cultured as described by Lusso et al (12). Ficoll/hyopaque-isolated PBMC from laboratory workers (LW) stimulated with PHA for 72h before depletion of CD8+ Lymphocytes with anti-CD8 immunomagnetic beads (DYNAL, Great Neck, NY). CD4+ Lymphocytes were maintained in culture medium containing interleukin-2 (100U/ml; Hofmann LaRoche, Nutley, NJ), as described previously (3). Target cells ( $1-2 \times 10^5$ ) were infected with supernatants (10-50ng of HIV-1 p24) from 293-cells co-transfected with an NL4/3Δenv-luciferase vector and a HIV-1 env-expressing vector (10,11).  $\beta$ -Chemokines (R & D Systems, Minneapolis) were added to the target cells simultaneously with virus, at the final concentrations (ng/ml) indicated in parentheses in the first column. The  $\beta$ -chemokine concentration range was selected based on prior studies (2,3). After 2h, the cells were washed twice with PBS, resuspended in  $\beta$ -chemokine-containing media and maintained for 48-96h. Luciferase activity in cell lysates was measured as described previously (10,11). The values indicated represent luciferase activity (cpm)/ng p24/mg protein, expressed relative to that in virus-control cultures lacking  $\beta$ -chemokines (100%), and are the means of duplicate or sextuplicate determinations. nd, not done. R/M $\alpha$ /M $\beta$ , RANTES + MIP-1 $\alpha$  + MIP-1 $\beta$ .

RANTES and MIP-1 $\beta$  were strongly active when added individually, while other  $\beta$ -chemokines - MIP-1 $\alpha$ , MCP-1, MCP-2 and MCP-3 (refs. 13-15) - were weaker inhibitors (Table 1a). However, MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES, in combination, did not inhibit infection of PM1 cells by the TCLA strains NL4/3 and HxB2, or by the amphotropic murine leukemia virus (MuLV-Ampho) pseudotype (Table 1a). Thus,

-26-

phenotypic characteristics of the HIV-1 envelope glycoproteins influence their sensitivity to  $\beta$ -chemokines in a virus entry assay.

5      The env-complementation assay was used to assess HIV-1 entry into CD4+ T-cells from two control individuals (LW4 and LW5). MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES strongly inhibited infection by the NSI primary strain JR-FL infection of LW4's and LW5's CD4+ T-cells, and weakly reduced HxB2 infection of  
10     LW cells (Table 1b), suggesting that there may be some overlap in receptor usage on activated CD4+ T-cells by different virus strains. BaL env-mediated replication in normal PBL was also inhibited by MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES, albeit with significant inter-donor variation in sensitivity  
15     (data not shown).

It was determined when  $\beta$ -chemokines inhibited HIV-1 replication by showing that complete inhibition of infection of PM1 cells required the continuous presence of  $\beta$ -chemokines for up to 5h after addition of ADA or BaL env-complemented virus (Fig.1a). Pre-treatment of the cells with  $\beta$ -chemokines for 2h or 24h prior to infection had no inhibitory effect if the cells were subsequently washed before virus addition. Furthermore, adding  $\beta$ -chemokines 2h after virus only minimally affected virus entry (Fig.1a). A PCR-based assay was next used to detect HIV-1 early DNA reverse transcripts in PM1 cells after 10h of infection; reverse transcription of ADA, but not of NL4/3, could not be detected in the presence of MIP-1 $\beta$  and RANTES (Fig.1b).  
20     Thus, inhibition by  $\beta$ -chemokines requires their presence during at least one of the early stages of HIV-1 replication: virus attachment, fusion and early reverse transcription.  
25  
30

-27-

These sites of action were discriminated, first by testing whether  $\beta$ -chemokines inhibited binding of JR-FL or BRU gp120 to soluble CD4, or of tetrameric CD4-IgG2 binding to HeLa-JR-FL cells expressing oligomeric envelope glycoproteins (17). No inhibition by any of the  $\beta$ -chemokines was found in either assay, whereas the OKT4a CD4-MAb was strongly inhibitory in both (data not shown). Thus,  $\beta$ -chemokines inhibit a step after CD4 binding, when conformational changes in the envelope glycoproteins lead to fusion of the viral and cellular membranes (18). Cell-cell membrane fusion is also induced by the gp120-CD4 interaction, and can be monitored directly by resonance energy transfer (RET) between fluorescent dyes incorporated into cell membranes (17). In the RET assay, OKT4a completely inhibits membrane fusion of PM1 cells with HeLa cells expressing the envelope glycoproteins of either JR-FL (HeLa-JR-FL) or BRU (HeLa-BRU), confirming the specificity of the process (17). RANTES, MIP-1 $\beta$  (and to a lesser extent, MIP-1 $\alpha$ ) strongly inhibited membrane fusion of HeLa-JR-FL cells with PM1 cells, whereas fusion between PM1 cells and HeLa-BRU cells was insensitive to these  $\beta$ -chemokines (Table 2a).

-28-

**Table 2: Effect of  $\beta$ -chemokin s on HIV-1 envelope glycoprotein-mediated membran fusion measured using the RET assay**

	% Fusion	
	HeLa-JR-FL	HeLa-BRU
a) <u>PM1 cells</u>		
no chemokines	100	100
+R/M $\alpha$ /M $\beta$ (80/400/100)	1	95
+RANTES (80)	8	100
+MIP-1 $\alpha$ (400)	39	100
+MIP-1 $\beta$ (100)	13	93
+MCP-1 (100)	99	98
+MCP-2 (100)	72	93
+MCP-3 (100)	98	99
b) <u>LW5 CD4<math>^{+}</math> cells</u>		
no chemokines	100	100
+R/M $\alpha$ /M $\beta$ (106/533/133)	39	100
+RANTES (106)	65	95
+MIP-1 $\alpha$ (533)	72	100
+MIP-1 $\beta$ (133)	44	92
+OKT4A (3ug/ml)	0	0

-29-

Table 2 legend:

CD4<sup>+</sup> target cells (mitogen-activated CD4<sup>+</sup> lymphocytes or PM1 cells) were labeled with octadecyl rhodamine (Molecular Probes, Eugene, OR), and HeLa-JR-FL cells, HeLa-BRU cells (or control HeLa cells, not shown) were labeled with octadecyl fluorescein (Molecular Probes), overnight at 37°C. Equal numbers of labeled target cells and env-expressing cells were mixed in 96-well plates and  $\beta$ -chemokines (or CD4 MAb OKT4a) were added at the final concentrations (ng/ml) indicated in parentheses in the first column. Fluorescence emission values were determined 4h after cell mixing (17). If cell fusion occurs, the dyes are closely associated in the conjoined membrane such that excitation of fluorescein at 450nm results in resonance energy transfer (RET) and emission by rhodamine at 590nm. Percentage fusion is defined as equal to  $100 \times [(\text{Exp RET} - \text{Min RET}) / (\text{Max RET} - \text{Min RET})]$ , where Max RET = %RET obtained when HeLa-Env- and CD4<sup>+</sup> cells are mixed, Exp RET = %RET obtained when HeLa-Env and CD4<sup>+</sup> cells are mixed in the presence of fusion-inhibitory compounds, and Min RET = %RET obtained when HeLa cells (lacking HIV-1 envelope glycoproteins) and CD4<sup>+</sup> cells are mixed. The %RET value is defined by a calculation described elsewhere(17), and each is the mean of triplicate determinations. These values were, for HeLa-JR-FL and HeLa-BRU cells respectively: PM1 cells 11.5%, 10.5%; LW5 CD4<sup>+</sup> cells, 6.0%, 10.5%; R/M $\alpha$ /M $\beta$ , RANTES + MIP-1 $\alpha$  + MIP-1 $\beta$ .

Similar results were obtained with primary CD4<sup>+</sup> T-cells from LW5 (Table 2b), although higher concentrations of  $\beta$ -chemokines were required to inhibit membrane fusion in the primary cells than in PM1 cells. Thus, the actions of the  $\beta$ -chemokines are not restricted to the PM1 cell line. The RET assay demonstrates that  $\beta$ -chemokines interfere with env-mediated membrane fusion.

-30-

The simplest explanation of these results is that the binding of certain  $\beta$ -chemokines to their receptor(s) prevents, directly or otherwise, the fusion of HIV-1 with CD4 $^{+}$  T-cells. It has been known for a decade that HIV-1 requires a second receptor for entry into CD4 $^{+}$  cells (19-21). This function is supplied, for TCLA strains, by fusin (9). Several receptors for MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES have been identified (6,7), and  $\beta$ -chemokines exhibit considerable cross-reactivity in receptor usage (4-8). However, C-C CKR-1 and, especially, C-C CKR-5 were identified as the most likely candidates, based on tissue expression patterns and their abilities to bind MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES (4,7,8,15,22). C-C CKR-1, C-C CKR-5 and LESTR are each expressed at the mRNA level in PM1 cells and primary macrophages (data not shown). These and other  $\beta$ -chemokine receptors were therefore PCR-amplified, cloned and expressed.

The expression of C-C CKR-5 in HeLa-CD4 (human), COS-CD4 (simian) and 3T3-CD4 (murine) cells rendered each of them readily infectible by the primary, NSI strains ADA and BaL in the env-complementation assay of HIV-1 entry (Table 3).

Table 3: C-C CKR-5 expression permits infection of CD4-expressing cells by primary, NSI HIV-1 strains

		pcDNA3.1	LESTR	CKR-1	CKR-2a	CKR-3	CKR-4	CKR-5	R/Ma/MS
		798	456	600	816	516	534	153000	3210
COS-CD4	ADA	660	378	600	636	516	618	58800	756
	BaL	5800	96700	5240	5070	5470	5620	4850	5000
	HxB2								
HeLa-CD4	ADA	678	558	4500	912	558	600	310000	6336
	BaL	630	738	1800	654	516	636	104000	750
	HxB2	337000	nd	nd	nd	nd	nd	nd	356000
3T3-CD4	ADA	468	558	450	618	534	606	28400	1220
	BaL	606	738	660	738	534	558	11700	756
	HxB2	456	24800	618	672	732	606	618	606

-32-

*Table 3 legend:*

Chemokine receptor genes C-C CKR-1, C-C CKR-2a, C-C CKR-3, C-C CKR-4 and C-C CKR-5 have no introns (4-8,15,22) and were isolated by PCR performed directly on a human genomic DNA pool derived from the PBMC of seven healthy donors.

Oligonucleotides overlapping the ATG and the stop codons and containing BamHI and Xhol restriction sites for directional cloning into the pcDNA3.1 expression vector (Invitrogen Inc.) were used. LESTR (also known as fusin or HUMSTR) (4,9,24) was cloned by PCR performed directly on cDNA derived from PM1 cells, using sequences derived from the NIH database. Listed below are the 5'and 3' primer pairs used in first (5-1 and 3-1) and second (5-2 and 3-2) round PCR amplification of the CKR genes directly from human genomic DNA, and of LESTR from PM1 cDNA. Only a single set of primers was used to amplify CKR-5.

LESTR: L/5-1 = AAG CTT GGA GAA CCA GCG GTT ACC ATG GAG GGG ATC (SEQ ID NO: 9);  
L/5-2 = GTC TGA GTC TGA GTC AAG CTT GGA GAA CCA (SEQ ID NO: 10);  
L/3-1 = CTC GAG CAT CTG TGT TAG CTG GAG TGA AAA CTT GAA GAC TC (SEQ ID NO: 11);  
L/3-2 = GTC TGA GTC TGA GTC CTC GAG CAT CTG TGT (SEQ ID NO: 12);  
CKR-1:C1/5-1 = AAG CTT CAG AGA GAA GCC GGG ATG GAA ACT CC (SEQ ID NO: 13);  
C1/5-2 = GTC TGA GTC TGA GTC AAG CTT CAG AGA GAA (SEQ ID NO: 14);  
C1/3-1 = CTC GAG CTG AGT CAG AAC CCA GCA GAG AGT TC (SEQ ID NO: 15);  
C1/3-2 = GTC TGA GTC TGA GTC CTC GAG CTG AGT CAG (SEQ ID NO: 16);  
CKR-2a:C2/5-1 = AAG CTT CAG TAC ATC CAC AAC ATG CTG TCC AC (SEQ ID NO: 17);

-33-

C2/5-2= GTC TGA GTC TGA GTC AAG CTT CAG TAC ATC (SEQ ID NO:  
18);  
C2/3-1 = CTC GAG CCT CGT TTT ATA AAC CAG CCG AGA C (SEQ ID  
NO: 19);  
5 C2/3-2 = GTC TGA GTC TGA GTC CTC GAG CCT CGT TTT (SEQ ID NO:  
20);  
CKR-3: C3/5-1 = AAG CTT CAG GGA GAA GTG AAA TGA CAA CC (SEQ  
ID NO: 21);  
C3/5-2= GTC TGA GTC TGA GTC AAG CTT CAG GGA GAA (SEQ ID NO:  
10 22);  
C3/3-1 = CTC GAG CAG ACC TAA AAC ACA ATA GAG AGT TCC (SEQ ID  
NO: 23);  
C3/3-2 = GTC TGA GTC TGA GTC CTC GAG CAG ACC TAA (SEQ ID NO:  
24);  
15 CKR-4: C4/5-1 = AAG CTT CTG TAG AGT TAA AAA ATG AAC CCC ACG  
G (SEQ ID NO: 25);  
C4/5-2 = GTC TGA GTC TGA GTC AAG CTT CTG TAG AGT (SEQ ID NO:  
26);  
C4/3-1 = CTC GAG CCA TTT CAT TTT TCT ACA GGA CAG CAT C (SEQ  
20 ID NO: 27);  
C4/3-2 = GTC TGA GTC TGA GTC CTC GAG CCA TTT CAT (SEQ ID NO:  
28);  
CKR-5: C5/5-12 = GTC TGA GTC TGA GTC AAG CTT AAC AAG ATG GAT  
TAT CAA (SEQ ID NO: 29);  
25 C5/3-12 = GTC TGA GTC TGA GTC CTC GAG TCC GTG TCA CAA GCC  
CAC (SEQ ID NO: 30).  
The human CD4-expressing cell lines HeLa-CD4 (P42), 3T3-CD4  
(sc6) and COS-CD4 (Z28T1) (23) were transfected with the  
different pcDNA3.1-CKR constructs by the calcium phosphate  
30 method, then infected 48h later with different reporter  
viruses (200ng of HIV-1 p24/10<sup>6</sup> cells) in the presence or  
absence of β-chemokines (400ng/ml each of RANTES, MIP-1α and  
MIP-1β). Luciferase activity in cell lysates was measured  
48h later (10,11). β-Chemokine blocking data is only shown  
35 for C-C CKR-5, as infection mediated by the other C-C CKR

-34-

genes was too weak for inhibition to be quantifiable. In PCR-based assays of HIV-1 entry, a low level of entry of NL4/3 and ADA into C-C CKR-1 expressing cells (data not shown) was consistently observed.

5

Neither LESTR nor C-C CKR-1, -2a, -3 or -4 could substitute for C-C CKR-5 in this assay. The expression of LESTR in COS-CD4 and 3T3-CD4 cells permitted HxB2 entry, and HxB2 readily entered untransfected (or control plasmid-transfected) HeLa-CD4 cells (Table 3). Entry of BAL and ADA into all three C-C CKR-5-expressing cell lines was almost completely inhibited by the combination of MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES, whereas HxB2 entry into LESTR-expressing cells was insensitive to  $\beta$  chemokines (Table 3). These results suggest that C-C CKR-5 functions as a  $\beta$ -chemokine-sensitive second receptor for primary, NSI HIV-1 strains.

20 The second receptor function of C-C CKR-5 was confirmed in assays of env-mediated membrane fusion. When C-C CKR-5 was transiently expressed in COS and HeLa cell lines that permanently expressed human CD4, both cell lines fused strongly with HeLa cells expressing the JR-FL envelope glycoproteins, whereas no fusion occurred when control plasmids were used (data not shown). Expression of LESTR instead of C-C CKR-5 did not permit either COS-CD4 or HeLa-CD4 cells to fuse with HeLa-JR-FL cells, but did allow fusion between COS-CD4 cells and HeLa-BRU cells (data not shown).

25

30 The fusion capacity of  $\beta$ -chemokine receptors was also tested in the RET assay. The expression of C-C CKR-5, but not of C-C CKR-1, -2a, -3 or -4, permitted strong fusion between HeLa-CD4 cells and HeLa-JR-FL cells. The extent of fusion between HeLa-JR-FL cells and C-C CKR-5-expressing HeLa-CD4

-35-

cells was greater than the constitutive level of fusion between HeLa-BRU cells and HeLa-CD4 cells (Fig.2). The fusion-conferring function of C-C CKR-5 for primary, NSI HIV-1 strains has therefore been confirmed in two  
5 independent fusion assays.

Experimental Discussion

Together, the above results establish that MIP-1 $\alpha$ , MIP-1 $\beta$  and RANTES inhibit HIV-1 infection at the entry stage, by  
10 interfering with the virus-cell fusion reaction subsequent to CD4 binding. It was also shown that C-C CKR-5 can serve as a second receptor for entry of primary NSI strains of HIV-1 into CD4+ T-cells, and that the interaction of  
15  $\beta$ -chemokines with C-C CKR-5 inhibits the HIV-1 fusion reaction.

-36-

References of the Preceding Sections

1. Levy,J.A., Mackewicz,C.E. & Barker,E. Immunol. Today **17**, 217-224 (1996).
2. Cocchi,F. et al. Science **270**, 1811 -1815 (1995).
- 5 3. Paxton,W.A. et al. Nat. Med. **2**, 412-417 (1996).
4. Neote,K., DiGregorio,D., Mak,J.Y., Horuk,R., & Schall,T.J. Cell **72**, 415-425 (1993).
5. Gao,J.-L. et al. J . Exp. Med.**177**, 1421 -1427 (1993).
6. Bacon,K.B., Premack,B.A., Gardner,P. & Schall,T.J.
- 10 Science **269**, 1727-1729 (1995).
7. Raport,C.J. etal. J. Leukoc. Biol. **59**,18-23 (1996).
8. Wells,T.N.C. et al. J. Leukoc. Biol. **59**, 53-60 (1996).
9. Feng,Y., Broder,C.C., Kennedy,P.E. & Berger,E.A. Science **272**, 872-877 (1996).
- 15 10. Chen,B.K., Saksela,K., Andino,R. & Baltimore,D. J. Virol. **68**, 654-660 (1994).
11. Connor,R.I., Chen,B.K., Choe,S., & Landau,N.R. Virology **206**, 935-944 (1995).
12. Lusso,P. etal. J. Virol. **69**, 3712-3720 (1995).
- 20 13. Charo,I.F. et al. Proc. Natl. Acad. Sci. USA **91**, 2752-2756 (1994).
14. Ben-Baruch,A. et al. J. Biol. Chem. **270**, 22123-22128 (1995).
15. Combadiere,C etal. J. Biol. Chem. **270**, 29671-29675 (1995).
- 25 16. Lip,J.P., D'Andrea,A.D., Lodish,H.F. & Baltimore,D. Nature **343**, 762-764 (1990).
17. Litwin,V. et al. J. Virol. (submitted for publication).
18. Moore,J.P., Jameson,B.A., Weiss,R.A. & Sattentau,Q.J.
- 30 in Viral Fusion Mechanisms (ed Bentz,J.) 233-289 (CRC Press Inc, Boca Raton, USA,1993).
19. Maddon,P.J. et al. Cell **47**, 333-348 (1986).
20. Ashorn,P.A., Berger,E.A. & Moss,B. J.Virol. **64**, 2149-2156 (1990).

-37-

21. Clapham, P.R., Blanc, D. & Weiss, R.A. Virology 181, 703-715 (1991).
22. Samson, M., Labbe, O., Mollereau, C., Vassart, G. & Parmentier, M. Biochemistry 11, 3362-3367 (1996).
- 5 23. Dragic, T., Charneau, P., Clavel, F. & Alizon, M. J.Virol. 66, 4794-4802 (1992)
24. Loetscher, M. et al. J.Biol.Chem. 269, 232-237 (1994).
25. Moore, J.P. & Ho, D.D. AIDS 9 (suppl A), S117-S136 (1995).
- 10 26. Trkola, A. & Moore, J.P. (unpublished data).
27. Chaudhuri, A., et al. 1994. J.Biol.Chem. 269, 7835-7838 (1994).
28. Neote, K., Mak, J.Y., Kolakowski Jr., L.F. & Schall, T.J. Blood 84, 44-52 (1994).
- 15 29. Dragic, T., Picard, L. & Alizon, M. J.Virol. 69, 1013-1018 (1995).
30. Puri, A., Morris, S.J., Jones, P., Ryan, M. & Blumenthal, R. Virology 219, 262-267 (1996). 31
31. Choe, H., Farzan, F., Sun, Y., Sullivan, N., Rollins, B.,  
20 Ponath, P.D., Wu, L., Mackay, C.R., LaRosa, G., Newman, W., Gerard, N., Gerard, C., and Sodroski, J. Cell 85, 1135-1148 (1996).
32. Doranz, B.J., Rucher, J., Yi, Y., Smyth, R.J., Samson, M., Peiper, S.c., Parmentier, M., Collman, R.G., and Doms, R.w. Cell 85, 1149-1158, (1996).
- 25 33. Wu, L., Paxton, W.A., Kassam, N., Ruffing, N., Rottman, J.B., Sullivan, N., Choe, H., Sodroski, J., Newman, W., Koup, R.A., and Mackay, C.R. J. Exp. Med. 185, Number 9, 1681-1691, (1997).

30

-38-

Second Series of Experiments

Experimental Methods

Sources of reagents. Recombinant human  $\beta$ -chemokines were purchased from R&D Systems (Minneapolis) and  $^{125}\text{I}$ -MIP-1 $\beta$  (specific activity 2,200 Ci mmol $^{-1}$ ) was from Dupont-NEN. Anti-CD4 monoclonal antibodies were from Q. Sattentau(16), except for 5A8, from L. Burkly (Biogen) (27) and L120 (UK MRC AIDS Reagent Repository) (16). Soluble CD4 has been described(17). Monoclonal antibodies to gp120 were obtained from donors listed elsewhere(19,20), except for 23A (gp120 C terminus, from J. Robinson), 447-D and 697-D (ref. 28; Cellular Products Inc.) And 83.1 (ref. 29; Repligen). Recombinant gp41 (IIIB) ectodomain was from Viral Therapeutics Inc., and V3 peptides were obtained either from Repligen or the UK MRC AIDS Reagent Repository. Recombinant MN gp120 (Genentech), SF-2 gp120 (Chiron) and CM243 gp120 were provided by the NIAID AIDS Reagents Repository, and W61D gp120 (SmithKline Beecham, Belgium) was from the UK MRC AIDS Reagent Repository.

Recombinant, monomeric JR-FL and LAI gp120s, both full-length and with the V3-loops deleted, were expressed using vectors developed at Progenics Pharmaceuticals that contain a dihydrofolate reductase expression cassette. The expression of the gene is under the control of the cytomegalovirus immediate-early promoter. For  $\Delta$ -V3 gp120s, the V3 loops were excised by the splicing-by-overlap-extension technique, such that the cysteines defining the loop were retained and spanned by the peptide sequence TGAGH. All constructs were sequenced to verify that no mutations were introduced during the cloning manipulations. The proteins were expressed in stably transfected Chinese hamster ovary cells (DXB-11), selected in nucleoside-free medium and amplified using methotrexate, following previously described methods(17). The secreted proteins

-39-

were purified to >95% homogeneity in a non-denaturing process comprising an ion exchange, Galanthus nivalis lectin affinity and gel filtration chromatography. The purified proteins bound sCD4 with nanomolar affinity(18).

5

For expression of SF162 gp160, a 3.5-kb EcoRI-BamH1 fragment containing the env gene was excised from the SV40-based vector pSM and subcloned into the R1/Bg1I1 sites of the  $\beta$ -actin-based expression vector pCAGGS. For expression of SF170 gp160, a 3.8-kb fragment containing the env gene was excised from the pBSKS' plasmid, blunted by treatment with T4 DNA polymerase, and subcloned into the RV/Xhol sites of pCAGGS. The expression plasmids were transfected into 293 T cells by calcium phosphate co-precipitation. Soluble gp120 in the culture supernatant was collected after three days, filtered through 0.2- $\mu$ m filters and concentrated over an Amicon 1000 membrane.

20 The preparation of soluble, oligomeric forms of the JR-FL and 94RW020 envelope glycoproteins (and also monomeric gp120 from 92TH014) was as follows. The JR-FL env gene was provided by I. Chen (UCLA) and the env genes of 92TH014 and 92RW020 were obtained from the NIAID AIDS Reagent Repository(30). Soluble expression plasmids encoding gp120 25 and the gp41 ectodomain of JR-FL and 92RW020, gp120 only of 92TH014, were constructed as described(30), and transfected into Chinese hamster ovary cells by the calcium phosphate method. The cleavage sites between the JR-FL and 92TH014 gp120 and gp41 moieties were retained, and proteins secreted as oligomers (J.A., J.M.B. and J.P.M., unpublished 30 data). Envelope glycoproteins were partially purified from culture supernatants by immobilized metal-affinity chromatography. A control preparation, 93MW959(c), containing a gp120/gp41 molecule incompetent at CD4 binding, 35 by virtue of a single point mutation at residue 457, did not

-40-

compete with  $^{125}\text{I}$ -MIP-1 $\beta$ . The monomeric gp120 or oligomeric gp120/gp41 concentrations in unpurified culture supernatants were estimated by denaturing the proteins(19), then dot-blotting onto nitrocellulose membranes and detecting the gp120 with a cocktail of murine monoclonal antibodies to continuous epitopes(19), followed by an anti-mouse IgG-HRP conjugate and the ECL chemiluminescence system (Amersham). Purified, monomeric JR-FL gp120 was used as a concentration standard(17). The concentration of oligomeric gp120/gp41 complexes was defined as the total concentration of monomeric gp120 subunits in the preparation. High-affinity CD4 binding of the gp120s was confirmed by enzyme-linked immunosorbent assay (ELISA) (19).

Cells and cell lines. PBMCs were isolated from blood donors by Ficoll-Hypaque centrifugation, and stimulated for 2-3 days with phytohaemagglutinin ( $5 \mu\text{g ml}^{-1}$ ) and IL-2 ( $100 \text{ U ml}^{-1}$ ) (Roche). CD4 $^+$  T cells were purified from the activated PBMCs by positive selection using anti-CD4 immunomagnetic beads (Dynal Inc.). The purified lymphocytes were cultured for at least 3 days at  $2 \times 10^6 / \text{ml}$  in medium containing IL-2 ( $200 \text{ U ml}^{-1}$ ) before being used in the  $^{125}\text{I}$ -MIP-1 $\beta$  binding assay. The cells were screened for CCR-5-defective alleles(14), and only cells from wild-type donors were used (except when specified). 293 cells were transfected with pcDNA3.1-ckr-5 (ref. 1) using the calcium phosphate method, and resistant clones were selected in culture medium containing  $1 \mu\text{g ml}^{-1}$  neomycin (G418; Sigma). Resistant cells were subcloned and tested for CCR-5 expression in a binding assay using  $^{125}\text{I}$ -MIP-1 $\beta$ .

MIP-1 $\beta$  binding assay and gp120 competition. Purified CD4 $^+$  T cells were washed twice in ice-cold binding buffer (RPMI 1640 medium containing 1% BSA, 25mM HEPES, 0.05% sodium azide). Duplicate samples ( $2 \times 10^6$  cells in  $200 \mu\text{l}$ ) were

-41-

incubated with 0.1 nM  $^{125}\text{I}$ -MIP-1 $\beta$  (2,200 Ci mmol $^{-1}$ ; 0.25  $\mu\text{Cml}^{-1}$ ) for 2 h on ice. Unlabelled ligand or gp120 (mixed with monoclonal antibodies when appropriate) was added to the cells immediately before radiolabelled ligand was added.

5 Anti-CD4 monoclonal antibodies were added to the cells simultaneously with gp120. These cells were then separated from unbound ligand by centrifugation (60s, 14,000g) through oil (80% silicone oil, Aldrich; 20% mineral oil, Sigma), and the radioactivity in the cell pellet was determined by gamma counting.

10 Specific binding of  $^{125}\text{I}$ -MIP-1 $\beta$  was estimated by including a 100-fold excess of unlabelled MIP-1 $\beta$ . Each experiment was repeated at least twice using cells from different donors. For experiments with 293-CCR-5 cells, the cells were detached with 1mM EDTA then washed twice with

15 binding buffer. Samples ( $5 \times 10^5$  cells) were incubated with 0.5 nM  $^{125}\text{I}$ -MIP-1 $\beta$ , then processed as above. When the  $^{125}\text{I}$ -MIP-1 $\beta$  concentration was reduced to 0.1 nM, no specific binding was detected.

20 Summary

The  $\beta$ -chemokine receptor CCR-5 is an essential co-factor for fusion of HIV-1 strains of the non-syncytium-inducing (NSI) phenotype with CD4 $^+$  T-cells(1-5). The primary binding site for human immunodeficiency virus (HIV)-1 is the CD4 molecule, and the interaction is mediated by the viral surface glycoprotein gp120 (6, 7). The mechanism of CCR-5 function during HIV-1 entry has not been defined, but we have shown previously that its  $\beta$ -chemokine ligands prevent HIV-1 from fusing with the cell(1). We therefore investigated whether CCR-5 acts as a second binding site for HIV-1 simultaneously with or subsequent to the interaction between gp120 and CD4. We used a competition assay based on gp120 inhibition of the binding of the CCR-5 ligand, macrophage inflammatory protein (MIP)-1 $\beta$ , to its receptor on activated CD4 $^+$  T cells or CCR-5 positive CD4 $^+$  cells. We

-42-

conclude that CD4 binding, although not absolutely necessary for the gp120-CCR-5 interaction, greatly increases its efficiency. Neutralizing monoclonal antibodies against several sites on gp120, including the V3 loop and CD4-induced epitopes, inhibited the interaction of gp120 with CCR-5, without affecting gp120-CD4 binding. Interference with HIV-1 binding to one or both of its receptors (CD4 and CCR-5) may be an important mechanism of virus neutralization.

10

MIP-1 $\beta$  is the most specific ligand for CCR-5 (8-10) because MIP-1 $\alpha$  and RANTES also bind with high affinity to other members of the  $\beta$ -chemokine receptor family on lymphoid cells(8-11). We therefore used MIP-1 $\beta$  as the CCR-5 ligand in the competition assays. In common with other members of this receptor family(12), CCR-5 is a mitogen-response gene. Its expression in quiescent, purified CD4 $^+$  T-cells is usually minimal, but 3 days after activation of the cells by phytohaemagglutinin and interleukin (IL)-2, we observed strong increases in CCR-5 messenger RNA and  $^{125}$ I-labelled-MIP-1 $\beta$  binding (data not shown). As specificity controls, we used CD4 $^+$  T cells from individuals homozygous for defective CCR-5 alleles(13,14). The amount of specific (that is, cold MIP-1 $\beta$ -competed)  $^{125}$ I-MIP-1 $\beta$  (0.1 nM) binding to cells from three such individuals was  $92 \pm 12$  c.p.m. per  $2 \times 10^6$  cells (mean  $\pm$  s.d.). In contrast, mean binding to cells from 21 control individuals was  $1,044 \pm 1,073$  c.p.m. per  $2 \times 10^6$  cells (range, 222-4,846 c.p.m.). Most of the  $^{125}$ I-MIP-1 $\beta$  reactivity with activated CD4 $^+$  T cells therefore derives from binding to CCR-5.

When recombinant, monomeric gp120s were added with  $^{125}$ I-MIP-1 $\beta$  to activated CD4 $^+$  T cells, we found that gp120 from the NSI strain JR-FL [which used CCR-5 for entry(1)] strongly inhibited MIP-1 $\beta$  binding (Fig. 3a: Table 4).

TABLE 4 Effect of recombinant gp120 on MIP-1 $\beta$  binding

	0.1	0.2	gp120 ( $\mu\text{g mL}^{-1}$ ) .05	5	20	50	v3 sequence
NSI gp120							
JR-FL (B)	44 $\pm$ 9	40 $\pm$ 23	58 $\pm$ 26	67 $\pm$ 9	91 $\pm$ 3	95 $\pm$ 6	CTRPNNTNTRKSIHIGPGRFTTGEIIGDIRQAH
JR-FL (B) *	46 $\pm$ 28	56 $\pm$ 3	84 $\pm$ 11				
SF 162 (B)	81 $\pm$ 8	113 $\pm$ 41					
W61D (B)	39 $\pm$ 14	53 $\pm$ 17	65 $\pm$ 23	86 $\pm$ 12			CTRPNNTNTRKGIIHIGPGRFTTGEIIGDIRQAH
92TH014 (B)	6 $\pm$ 1	57 $\pm$ 16	65 $\pm$ 5				CTRPNNTNTRKSILHGPGRFTTGEIIGDIRQAH
SF 170 (A)	87 $\pm$ 45	140 $\pm$ 49					CTRPNNTNTRKVRIIGPQAFYATGDIIGDIRQAYC
92RW020 (A) *	21 $\pm$ 39	49 $\pm$ 22	74 $\pm$ 36				CTRPNNTNTRKGVRIGPQAFYTCGGIIGDIRQAH
CM243 (E)	17 $\pm$ 14	40 $\pm$ 11	56 $\pm$ 38	76 $\pm$ 24			CTRPSNNTRPSITVGPQOVFYRTGDIIGDIRRAYC
TCLA gp120							
LAI (B)		-1 $\pm$ 3	-5 $\pm$ 36	-18 $\pm$ 39	-6 $\pm$ 9		CTRPNNTNTRKSIRIQGPGRAPHFTIGKIGNMRQAH
MN (B)		-20 $\pm$ 14	-23 $\pm$ 4	1 $\pm$ 0	0 $\pm$ 6		CTRPNYNKRIHIGPGRFTTNIIGTIRQAH
SF-2 (B)		-1 $\pm$ 4	3 $\pm$ 3	8 $\pm$ 26	21 $\pm$ 3		CTRPNNTNTRKSIVYIGPGRFAHTIGDIRKAHC
gp41							
IIIB (B)				24 $\pm$ 5			

-44-

Table 4 Legend:

Recombinant proteins were titrated in the presence of 0.1 nM  $^{125}\text{I}$ -MIP-1 $\beta$  and added to activated CD4 $^+$  T cells. Percentage inhibitions of  $^{125}\text{I}$ -MIP-1 $\beta$  binding at each gp120 concentration are shown, and are the means  $\pm$  s.d. of 2-4 independent experiments. No value indicates that the gp120 molecule was not tested at that concentration (several molecules were not available at concentrations  $> 1\mu\text{g ml}^{-1}$ ).  
10 \* An oligomeric gp120/gp41 complex.

-45-

Half-maximal inhibition occurred in the range 0.1-1.0  $\mu\text{g ml}^{-1}$  (0.8-8nM) gp120, which is similar to the association constant for the gp120-CD4 interaction(7). In contrast, gp120 from the T-cell-line adapted (TCLA) SI strain LAI was 5 ineffective (Fig. 3a; Table 4). This virus uses fusin (CXCR-4), but not CCR-5, for entry(1-5). Mutants of JR-FL and LAI gp120s which lack the V3 loop ( $\Delta$ -V3 gp120), but bind CD4 with high affinity, did not block MIP-1 $\beta$  binding (Fig. 10 3a). However, peptides (15-residue if not specified) from the V3 loops of the following strains were also inactive: JR-FL (32-residue), RA, VS, Case-B (each NSI); HxB2, MN, SF- 15 2 (each TCLA) (peptides were added at 1 $\mu\text{g ml}^{-1}$ , the approximate molar equivalent of 60  $\mu\text{g ml}^{-1}$  gp120). An oligomeric complex of JR-FL gp120 noncovalently associated with the ectodomain of gp41 was an effective inhibitor of MIP-1 $\beta$  binding, but a recombinant molecule comprising only the gp41 ectodomain was not (Table 4), although the latter molecule may not fold into a native structure(15).

20 HIV-1 strains from genetic subtypes A, B, C and E can use CCR-5 for entry(3), and we have found that MIP-1 $\beta$  inhibits the replication of most primary, NSI HIV-1 strains from subtypes A to E. This breadth of reactivity of HIV-1 with CCR-5 extend to the  $^{125}\text{I}$ -MIP-1 $\beta$  competition assay. 25 Recombinant gp120s from the following NSI primary strains were competitive, with half-maximal inhibition of MIP-1 $\beta$  binding occurring at concentrations around 0.1-0.5  $\mu\text{g ml}^{-1}$ : JR-FL (subtype B), SF162 (B), W61D (B), 92TH014 (B), SF170 (A), 92RW020 (A) and CM243 (E) (Table 4). In contrast, no 30 competition was observed with gp120s from the TCLA subtype B strains LAI, MN and SF-2 (Table 4), although each could bind CD4 with high affinity (not shown). Thus the phenotype of the virus from which a gp120 molecule is derived is more important than the viral genotype in determining 35 interactions with CCR-5.

-46-

We assessed the role of CD4 in the competition between NSI gp120 and MIP-1 $\beta$  by using antagonists of the gp120-CD4 interaction. The monoclonal antibodies Q4120 and L77, which react with domain 1 of CD4 to inhibit gp120 binding(16), and soluble CD4 (sCD4), which reacts with gp120 to inhibit CD4 binding(17), both reversed the inhibition by JR-FL gp120 of MIP-1 $\beta$ binding to CCR-5 (Fig. 3b; Table 5).

TABLE 5 Monoclonal antibody inhibition of the gp120 interaction with CCR-5

	Epitope	Monoclonal antibody	Inhibition (%)	$\pm$ s.d.
CD4 antibodies				
CD4-D1		Q4120	83	27
		L77	77	12
CD4-D2		5A8	3	15
CD4-D3		Q425	15	23
CD4-D4		L120	-17	23
anti-gp120 antibodies non-neutralizing face		D7324	-13	1
C1(D)		23A	-11	14
		CRA-1	-2	18
		522-149	9	1
C1(L)		133/192	8	7
C1-C4		A32	51	11
		211C	5	11
C1-C5		C11	3	21
anti-gp120 antibodies neutralizing face		SCD4*	91	18
CD4bs		15et	65	18
CD4bs		IgG1b12*	125	40
		G3 508†	57	1
		G3 42†	13	10
C4(L)		4Bd*	54	33
C4-V3 (D)		17b*	79	41
CD4i		697-D†	3	37
V2		SC258	-3	8
V3		447-D*		
109	2	19b†	8812	
		83.1†		
140	48	2G12*	38	4

## Table 5 Legend:

JR-FL gp120 ( $2\text{ug ml}^{-1}$ ) inhibition of  $^{125}\text{I}-\text{MIP-1}\beta$  binding to activated CD4 $^+$  T cells was tested in the presence or absence of sCD4 ( $50 \mu\text{g ml}^{-1}$ ) or monoclonal antibodies to CD4 ( $50 \mu\text{g ml}^{-1}$ ) or antibodies to gp120 ( $20\mu\text{g ml}^{-1}$ ). Mean percentage reversals of the competitive effect of gp120 in the presence of each antibody ( $\pm\text{s.d.}; n= 2-4$  independent experiments) are shown. The level of specific  $^{125}\text{I}-\text{MIP-1}\beta$  binding (c.p.m.) recorded in the presence of gp120 but the absence of antibody was set at 0%, and the level recorded in the absence of both gp120 and antibody was set at 100%. A negative percentage reversal indicates that the competitive effect of gp120 on  $^{125}\text{I}-\text{MIP-1}\beta$  binding was increased in the presence of the antibody. Also listed are the approximate positions of the antibody epitopes on gp120, as defined(19,20). References to the origin of the antibodies are described elsewhere(19,20) or listed in the Methods section.

\* Anti-gp120 monoclonal antibodies (or sCD4) able to neutralize HIV-1<sub>JR-FL</sub>.

† Monoclonal antibodies with neutralizing activity against other HIV-1 strains (primary or TCLA).

-49-

Monoclonal antibodies to other domains of CD4 [which do not block gp120-CD4 binding(16)] were ineffective (Table 5) and, in the absence of gp120, sCD4 ( $50 \mu\text{g ml}^{-1}$ ) caused no inhibition of MIP-1 $\beta$  binding (data not shown). An interaction with cell-surface CD4 is therefore important for gp120 to interact efficiently with CCR-5 and block MIP-1 $\beta$  binding. To determine whether CD4 was an absolute requirement, we prepared a stable human CD4 $^{+}$  CCR-5 $^{+}$  293 cell line. These cells bind  $^{125}\text{I}$ -MIP-1 $\beta$  (specific binding up to 2,500 c.p.m. per  $5 \times 10^5$  cells), whereas untransfected 293 cells do not (specific binding <50 c.p.m.). The binding of  $^{125}\text{I}$ -MIP-1 $\beta$  to the CD4 $^{+}$  CCR-5 $^{+}$  293 cells was sporadically inhibited by JR-FL gp120, but only at the highest gp120 concentrations tested ( $50-100 \mu\text{g ml}^{-1}$ ). The strongest competition observed on these cells was 73% inhibition of MIP-1 $\beta$  binding by  $50 \mu\text{g ml}^{-1}$  of JR-FL gp120 (comparable inhibition was found in two other experiments), but competition was often not detected at all, and we never observed inhibition of MIP-1 $\beta$  binding at lower concentrations of gp120. The addition of excess sCD4 to the CD4 $^{+}$  CCR-5 $^{+}$  cells neither reduced nor increased the inhibitory effect of JR-FL gp120 (data not shown).

The interaction between JR-FL gp120 and CCR-5 requires at least 100-fold higher gp120 concentrations on CD4 $^{+}$  cells than on CD4 $^{+}$  cells. We suggest this is because binding of gp120 to CD4 on the cell surface increases the probability of a gp120-CCR-5 interaction; either a gp120-CD4-CCR-5 ternary complex forms, or there are sequential interactions of gp120 with CD4, then CCR-5. One possibility is that the high-affinity association of gp120 with CD4 increases the probability of a lower-affinity interaction of gp120 with CCR-5 (a proximity effect). This is consistent with the finding that sCD4 does not substitute for cell-surface CD4, at least with JR-FL gp120. Alternatively, binding to CD4

-50-

5 may be necessary to (better) expose a CCR-5 binding site on gp120. This may be especially important in the context of virions, where some regions of the oligomeric envelope glycoproteins (including the V3 loop) that are accessible on monomeric gp120 are not optimally exposed before CD4 binding(18).

10 To gain further sight into how the gp120-CD4 complex interacts with CCR-5 on activated CD4<sup>+</sup> T cells, we used a panel of HIV-1 neutralizing and non-neutralizing anti-gp120 monoclonal antibodies(19,20) having confirmed that each could bind to JR-FL gp120. The antibodies were tested for reversal of the competitive effect of gp120 on MIP-1 $\beta$  binding site (Table 5). As with sCD4, the antibodies to conformational (15e and IgG1b12) or linear (G3-508) epitopes overlapping the CD4-binding site(20) prevented JR-FL gp120 from competing with MIP-1 $\beta$ . However, several antibodies that do not affect the binding of monomeric gp120 to CD4 (20) also inhibited the gp120-CCR-5 interaction (Table 5). These included three (447-D, 10b and 83.1) to the V3 loop; one (2G12) to a conserved epitope in the C3-V4 region; two (48d and 17b) to a conserved, CD4-induced epitope. All of these except A32 map to what we have defined as the gp120-neutralizing face(20). Eight other monoclonal antibodies that did not prevent JR-FL gp120 from blocking MIP-1 $\beta$  binding (Table 2) cluster on what we have defined as the gp120-non-neutralizing face(20): their epitopes are accessible on monomeric gp120, but in the context of the oligomer they are occluded either by other gp120 subunits or by gp41 molecules(19,20). These ineffective antibodies include 2/11c to an epitope overlapping that of A32; for this reason, and because A32 neutralizes no HIV-1 strains strongly, the significance of the partial inhibitory action of A32 on the gp120-CCR-5 interaction is uncertain. Two monoclonal antibodies (697-D and SC259) to the V2 loop were

-51-

also ineffective; although the V2 loop structure is modelled as being on (or above) the gp120 neutralizing face(20), these two antibodies are unable to neutralize HIV-1<sub>JR-FL</sub>. The monoclonal antibodies 2G12, 17b, 447-D, 48d, IgG1b12, G3-508 5 and 697-D were also tested against the oligomeric JR-FL gp120/gp41 protein, and all except 697-D inhibited the interaction of this protein with CCR-5 (not shown).

Most of these antibodies to the neutralizing face of gp120 10 therefore either prevented gp120 from binding to CD4 or interfered with subsequent interactions with the CCR-5 second receptor. Not every antibody to this face of gp120 actually neutralizes HIV-1<sub>JR-FL</sub>, as primary viruses resist neutralization, and studies with recombinant proteins can 15 only predict neutralization efficiencies imprecisely(18). However, our findings may have implications for understanding how HIV-1 is neutralized by antibodies; blockade of the primary or secondary receptor interactions of the virus may be particularly important.

20 The simplest interpretation of the inability of Δ-V3 JR-FL gp120 to block MIP-1 $\beta$  binding (Fig. 3a) is that the CCR-5 binding site is contained within the V3 loop. This would be consistent with the many observations that the V3 loop 25 contains important determinants of HIV-1 phenotype and tropism(18,21), and can influence second-receptor usage(3). We believe, however, that the CCR-5 binding site is not limited to the V3 loop. The V3 sequences of gp120s of subtypes A, B and E that interact with CCR-5 are rather 30 variable (Table 4). Furthermore, some simian immunodeficiency virus (SIV) strains can also use human CCR-5 as a second receptor (Z.W. Chen and P. Marx, personal communication), but the V3 regions of HIV-1 and SIV have almost no primary sequence homology. Can all these 35 sequences each form a binding site for the same, conserved

-52-

cellular protein, when similar V3 sequences from TCLA HIV-1 strains cannot (Table 4)? Twin-site models of the interaction of ligands with chemokine receptors(8) leave open the possibility that a relatively conserved section of the V3 loop could be one component of a multi-point binding site for CCR-5 on gp120. However, we suggest that the CCR-5 binding site must include a region of gp120 that is strongly conserved across the primate immunodeficiency viruses, not just across the HIV-1 genetic subtypes. Whether this is also the case for HIV-1 interactions with CXCR-4 remains to be determined.

The structure of the V3 loop may influence the nature of a complex binding site for CCR-5 on gp120. A region of gp120 overlapped by (but not necessarily identical to) the CD4-induced epitopes of monoclonal antibodies 48d and 17b is a good candidate for such a site. These antibodies recognize similar conformationally sensitive structures that are probably located around the bases of the V1, V2 and V3 loops(22,23). Deletion of the V3 loop from both HxBc2 gp120 and JR-FL gp120 destroys the 48d and 17b epitopes(22,23) (unpublished data), which may be relevant to the inability of the Δ-V3 JR-FL gp120 to interact with CCR-5 (Fig. 5A), and single amino-acid changes in the V3 and C4 regions of HIV-1<sub>LA1</sub> also have major effects on the structure of these epitopes(24).

Further studies will be required to refine our understanding of the CCR-5 binding site. The efficiency with which β-chemokines inhibit the replication of NSI primary isolate in peripheral blood mononuclear cells (PMBCs) is dependent on strain but not subtype (unpublished data); suggesting, perhaps, that the degree of overlap between the gp120s and β-chemokine binding sites on CCR-5 varies between gp120s. If so, the CCR-5 binding site on gp120 might be more

-53-

flexible than the CD4 binding site. Finally, although sCD4 inhibited the interaction between JR-FL gp120 and CCR-5 on CD4<sup>+</sup> cells, for some strains of HIV-1 and (especially) HIV-2 and SIV, sCD4 might enhance the efficiency of second-receptor interactions, and thereby facilitate the entry of these primate immunodeficiency viruses into CD4 or CD4<sup>+</sup> cells (25, 26).

-54-

Rferences of the Second Series of Experiments

1. Dragic, T. et al. *Nature* **381**, 667-673 (1996).
2. Deng, H.K. et al. *Nature* **381**, 661-666 (1996).
3. Choe, H. et al. *Cell* **86**, 1135-1148 (1996).
- 5 4. Doranz, B.J. et al. *Cell* **86**, 1149-1159 (1996).
5. Alkhatib, G. et al. *Science* **272**, 1955-1958 (1996).
6. Maddon, P.J. et al. *Cell* **47**, 333-348 (1986).
7. Lasky, L.A. et al. *Cell* **50**, 975-985 (1987).
8. Wells, T.N.C. et al. *J. Leuk. Biol.* **59**, 53-60 (1996).
- 10 9. Samson, M., Labbe, O., Mollereau, C., Vassart, G. & Parmentier, M. *Biochemistry* **11**, 3362-3367 (1996).
10. Raport, C.J., Gosling, J., Schweickart, V.L., Gray, P.W. & Charo, I.F. *J. Biol. Chem.* **271**, 17161-17166 (1996).
- 15 11. Neote, K., DiGregorio, D., Mak, J.Y., Horuk, R. & Schall, T.J. *Cell* **72**, 415-425 (1993).
12. Loetscher, P., Seitz, M., Baggolini, M. & Moser, B. *J. Exp. Med.* **184**, 569-578 (1996).
13. Paxton, W.A. et al. *Nature Med.* **2**, 412-417 (1996).
- 20 14. Liu, R. et al. *Cell* **86**, 367-378 (1996).
15. Weissenhorn, W. et al. *EMBO J.* **15**, 1507-1514 (1996).
16. Healey, D. et al. *J. Exp. Med.* **172**, 1233-1242 (1990).
17. Allaway, G.P. et al. *AIDS Res. Hum. Retrovirus* **11**, 533-540 (1995).
- 25 18. Moore, J.P. & Ho, D.D. *AIDS* **9** (suppl. A), S117-S136 (1995).
19. Moore, J.P. & Sattentau, Q.J., Wyatt, R. & Sodroski, J. *J. Virol.* **68**, 469-484 (1994).
20. Moore, J.P. & Sodroski, J. *J. Virol.* **70**, 1863-1872 (1996).
- 30 21. Cheng-Mayer, C. *AIDS* **4** (suppl.1), S49-S56 (1990).
22. Thali, M. et al. *J. Virol.* **67**, 3978-3988 (1993).
23. Wyatt, R. et al. *J. Virol.* **69**, 5723-5733 (1995).

-55-

24. Moore, J.P., Yoshiyama, H., Ho, D.D., Robinson, J.E. & Sodroski, J. AIDS Res. Hum. Retroviruses 9, 1179-1187 (1993).

5 25. Sullivan, N., Sun, Y., Li, J., Hoffmann, W. & Sodroski, J. J. Virol. 69, 4413-4422 (1995).

26. Allan, J.S., Strauss, J. & Buck, D.W. Science 247, 1084-1088 (1990).

27. Burkly, L.C. et al. J. Immunol. 149, 1779-1787 (1992).

28. Gorny, M.K. et al. J. Virol. 68, 8312-8320 (1994).

10 29. White-Scharf, M.E. et al. Virology 192, 197-208 (1993).

30. Lu, S. et al. J. Virol. 70, 3978-3991 (1996).

-56-

**Third Series of Experiments**

**Direct Binding of HIV-1<sub>JR-FL</sub> gp120 to CCR5<sup>+</sup> CD4<sup>+</sup> Cells**

The direct binding of HIV-1<sub>JR-FL</sub> gp120 to CCR5<sup>+</sup> CD4<sup>+</sup> cells has been demonstrated. In this case, preincubation of the gp120 with sCD4 or another CD4-based molecule is required, presumably because this results in a conformational change in gp120 that exposes a chemokine receptor binding site. Figure 4 illustrates the use of flow cytometry to measure the direct binding of sCD4/gp120 complexes to human CCR5-bearing murine L1.2 cells. Background levels of binding were observed with either biotinylated protein alone, or if gp120 from the laboratory-adapted strain HIV-1<sub>LAI</sub> is used in place of the HIV-1<sub>JR-FL</sub> gp120 (data not shown).

This assay has been adapted for drug screening purposes to a 96-well microplate format where binding of the sCD4/gp120 complexes to CCR5<sup>+</sup>/CD4<sup>+</sup> cells is measured using a fluorometric plate reader. One method is as follows:

- 1) Plate out L1.2-CCR5<sup>+</sup> cells (approx. 500,000/well).
- 2) Add inhibitor for 1 hour at room temperature.
- 3) Wash and add biotinylated sCD4 (2.5 $\mu$ g/ml) and biotinylated HIV-1<sub>JR-FL</sub> gp120 (5 $\mu$ g/ml), then incubate for 2 hours at room temperature.
- 4) Wash and incubate with streptavidin-phycoerythrin (100ng/ml).
- 5) Wash and measure the amount of bound gp120/sCD4 using a fluorometric plate reader exciting at 530nm and reading emission at 590nm.

Using this method, inhibition of binding of gp120/sCD4 to CCR5 by CC-chemokines (Fig. 5) and antibodies to CCR5 that block HIV-1 infection (not shown) have been demonstrated.

-57-

Inhibition of HIV-1 envelope-mediated membrane fusion by extracellular domains of CCR5.

Synthetic peptides representing the four extracellular domains of human CCR5 were made by Quality Controlled Biochemicals (Hopkinton, MA) and tested for ability to inhibit membrane fusion mediated by the envelope glycoproteins of the LAI or JR-FL strains of HIV-1 using the resonance energy transfer (RET) assay described above. Specific inhibition of fusion mediated by the JR-FL envelope glycoprotein was seen using the ECL2 peptide but not other peptides. ECL2 inhibited fusion between HeLa-env<sub>JR-FL</sub> cells and PM1 cells by 97% at 100 µg/ml, 65% at 33 µg/ml and 15% at 11 µg/ml (mean of two assays). ECL2 gave no inhibition of fusion between HeLa-env<sub>LAI</sub> and PM1 cells or HeLa-env<sub>LAI</sub> and HeLa-CD4 cells. These results strongly suggest that CCR5 ECL2 specifically inhibits fusion, most likely by blocking the interaction between HIV-1<sub>JR-FL</sub> gp120 and CCR5. No other peptides tested gave significant levels of specific inhibition of fusion.

Inhibition of HIV-1 envelope-mediated membrane fusion by the bicyclam, JM3100.

The bicyclam JM3100, obtained from Dr. J. Moore (Aaron Diamond AIDS Research Center, NY) was tested for ability to inhibit membrane fusion mediated by the envelope glycoproteins of the LAI or JR-FL strains of HIV-1 using the resonance energy transfer (RET) assay described above. As illustrated in Fig. 6, this molecule specifically and potently inhibits fusion mediated by gp120/gp41 from the HIV-1<sub>LAI</sub> strain, and not from the HIV-1<sub>JR-FL</sub> strain. These data suggest that this molecule specifically inhibits HIV fusion by blocking the interaction between HIV-1<sub>LAI</sub> gp120 and CXCR4.

-58-

SEQUENCE LISTING

(1) GENERAL INFORMATION:

(i) APPLICANTS: Allaway, Graham P  
Dragic, Tatjana  
Litwin, Virginia M  
Maddon, Paul J  
Moore, John P

(ii) TITLE OF INVENTION: Uses Of A Chemokine Receptor For Inhibiting HIV-1  
Infection

(iii) NUMBER OF SEQUENCES: 30

(iv) CORRESPONDENCE ADDRESS:

(A) ADDRESSEE: Cooper & Dunham LLP  
(B) STREET: 1185 Avenue of the Americas  
(C) CITY: New York  
(D) STATE: New York  
(E) COUNTRY: U.S.A.  
(F) ZIP: 10036

(v) COMPUTER READABLE FORM:

(A) MEDIUM TYPE: Floppy disk  
(B) COMPUTER: IBM PC compatible  
(C) OPERATING SYSTEM: PC-DOS/MS-DOS  
(D) SOFTWARE: PatentIn Release #1.0, Version #1.30

(vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER:  
(B) FILING DATE:  
(C) CLASSIFICATION:

(viii) ATTORNEY/AGENT INFORMATION:

(A) NAME: White, John P  
(B) REGISTRATION NUMBER: 28,678  
(C) REFERENCE/DOCKET NUMBER: 51320

(ix) TELECOMMUNICATION INFORMATION:

(A) TELEPHONE: 212-278-0400  
(B) TELEFAX: 212-391-0525

(2) INFORMATION FOR SEQ ID NO:1:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 38 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

CAAGGGCTACT TCCCTGATTG GCAGAACTAC ACACCAGG

38

(2) INFORMATION FOR SEQ ID NO:2:

-59-

(i) SEQUENCE CHARACTERISTICS:  
 (A) LENGTH: 25 base pairs  
 (B) TYPE: nucleic acid  
 (C) STRANDEDNESS: single  
 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

AGCAAGCCGA GTCCTGCGTC GAGAG

25

(2) INFORMATION FOR SEQ ID NO:3:

(i) SEQUENCE CHARACTERISTICS:  
 (A) LENGTH: 23 base pairs  
 (B) TYPE: nucleic acid  
 (C) STRANDEDNESS: single  
 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:3:

GGGACTTTCC GCTGGGGACT TTC

23

(2) INFORMATION FOR SEQ ID NO:4:

(i) SEQUENCE CHARACTERISTICS:  
 (A) LENGTH: 33 base pairs  
 (B) TYPE: nucleic acid  
 (C) STRANDEDNESS: single  
 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:4:

CCTGTTCGGG CGCCACTGCT AGAGATTTTC CAC

33

(2) INFORMATION FOR SEQ ID NO:5:

(i) SEQUENCE CHARACTERISTICS:  
 (A) LENGTH: 31 amino acids  
 (B) TYPE: amino acid  
 (C) STRANDEDNESS: single  
 (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:5:

Met	Asp	Tyr	Gln	Val	Ser	Ser	Pro	Ile	Tyr	Asp	Ile	Asn	Tyr	Tyr	Thr
1				5					10				15		

Ser	Glu	Pro	Cys	Gln	Lys	Ile	Asn	Val	Lys	Gln	Ile	Ala	Ala	Arg
20								25					30	

(2) INFORMATION FOR SEQ ID NO:6:

(i) SEQUENCE CHARACTERISTICS:

-60-

- (A) LENGTH: 15 amino acids
- (B) TYPE: amino acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:6:

His Tyr Ala Ala Ala Gln Trp Asp Phe Gly Asn Thr Met Cys Gln  
1 5 10 15

(2) INFORMATION FOR SEQ ID NO:7:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 32 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:7:

Arg Ser Gln Lys Glu Gly Leu His Tyr Thr Cys Ser Ser His Phe Pro  
1 5 10 15

Tyr Ser Gln Tyr Gln Phe Trp Lys Asn Phe Gln Thr Leu Lys Ile Val  
20 25 30

(2) INFORMATION FOR SEQ ID NO:8:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 17 amino acids
  - (B) TYPE: amino acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: peptide

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:8:

Gln Glu Phe Phe Gly Leu Asn Asn Cys Ser Ser Ser Asn Arg Leu Asp  
1 5 10 15

Gln

(2) INFORMATION FOR SEQ ID NO:9:

- (i) SEQUENCE CHARACTERISTICS:
  - (A) LENGTH: 36 base pairs
  - (B) TYPE: nucleic acid
  - (C) STRANDEDNESS: single
  - (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:9:

-61-

AAGCTTGGAG AACCAAGCGGT TACCATGGAG GGGATC

36

(2) INFORMATION FOR SEQ ID NO:10:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 30 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:10:

GTCTGAGTCT GAGTCAGCT TGGAGAACCA

30

(2) INFORMATION FOR SEQ ID NO:11:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 41 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:11:

CTCGAGCACATC TGTGTTAGCT GGAGTGAAAAA CTTGAAGACT C

41

(2) INFORMATION FOR SEQ ID NO:12:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 30 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:12:

GTCTGAGTCT GAGTCCTCGA GCATCTGTGT

30

(2) INFORMATION FOR SEQ ID NO:13:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 32 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:13:

AAGCTTCAGA GAGAAGCCGG GATGGAAACT CC

32

(2) INFORMATION FOR SEQ ID NO:14:

-62-

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 30 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:14:

GTCTGAGTCT GAGTCAGCT TCAGAGAGAA

30

(2) INFORMATION FOR SEQ ID NO:15:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 32 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:15:

CTCGAGCTGA GTCAGAACCC AGCAGAGAGT TC

32

(2) INFORMATION FOR SEQ ID NO:16:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 30 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:16:

GTCTGAGTCT GAGTCCTCGA GCTGAGTCAG

30

(2) INFORMATION FOR SEQ ID NO:17:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 32 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:17:

AAGCTTCAGT ACATCCACAA CATGCTGTCC AC

32

(2) INFORMATION FOR SEQ ID NO:18:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 30 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

- 63 -

(ii) MOLECULE TYPE: DNA (genomic)  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:18:

GTCTGAGTCT GAGTCAGCT TCAGTACATC

30

(2) INFORMATION FOR SEQ ID NO:19:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 31 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:19:

CTCGAGCCTC GTTTTATAAA CCAGCCGAGA C

31

(2) INFORMATION FOR SEQ ID NO:20:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 30 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:20:

GTCTGAGTCT GAGTCCTCGA GCCTCGTTT

30

(2) INFORMATION FOR SEQ ID NO:21:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 29 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:21:

AAGCTTCAGG GAGAAGTGAA ATGACAACC

29

(2) INFORMATION FOR SEQ ID NO:22:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 30 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)  
(xi) SEQUENCE DESCRIPTION: SEQ ID NO:22:

-64-

GTCTGAGTCT GAGTCAGCT TCAGGGAGAA

30

(2) INFORMATION FOR SEQ ID NO:23:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 33 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:23:

CTCGAGCAGA CCTAAAACAC AATAGAGAGT TCC

33

(2) INFORMATION FOR SEQ ID NO:24:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 30 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:24:

GTCTGAGTCT GAGTCCTCGA GCAGACCTAA

30

(2) INFORMATION FOR SEQ ID NO:25:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 34 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:25:

AAGCTTCTGT AGAGTTAAAA AATGAACCCC ACGG

34

(2) INFORMATION FOR SEQ ID NO:26:

(i) SEQUENCE CHARACTERISTICS:

- (A) LENGTH: 30 base pairs
- (B) TYPE: nucleic acid
- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:26:

GTCTGAGTCT GAGTCAGCT TCTGTAGAGT

30

(2) INFORMATION FOR SEQ ID NO:27:

-65-

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 34 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:27:

CTCGAGCCAT TTCA~~TTT~~TACAGGACAG CATC

34

(2) INFORMATION FOR SEQ ID NO:28:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 30 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:28:

GTCTGAGTCT GAGTCCTCGA GCCATTTCAT

30

(2) INFORMATION FOR SEQ ID NO:29:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 39 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:29:

GTCTGAGTCT GAGTCAGACT TAACAAGATG GATTATCAA

39

(2) INFORMATION FOR SEQ ID NO:30:

(i) SEQUENCE CHARACTERISTICS:  
(A) LENGTH: 39 base pairs  
(B) TYPE: nucleic acid  
(C) STRANDEDNESS: single  
(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: DNA (genomic)

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:30:

GTCTGAGTCT GAGTCCTCGA GTCCGTGTCG CAAGCCCAC

39

-66-

What is claim d is:

1. A polypeptide having a sequence corresponding to the sequence of a portion of a chemokine receptor and capable of inhibiting the fusion of HIV-1 to CD4<sup>+</sup> cells and thus of inhibiting HIV-1 infection of the cells.
2. A polypeptide having a sequence corresponding to the sequence of a portion of the chemokine receptor, CCR5 and capable of inhibiting the fusion of HIV-1 to CD4<sup>+</sup> cells and thus of inhibiting HIV-1 infection of the cells.
3. The polypeptide of claim 2 comprising amino acids having a sequence of at least one extracellular domain of CCR5.
4. The polypeptide of claim 3 wherein the extracellular domain is the second extracellular loop.
5. A pharmaceutical composition comprising an amount of the polypeptide of claim 1 effective to inhibit the fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.
6. A polypeptide having a sequence corresponding to that of a portion of a HIV-1 envelope glycoprotein capable of specifically binding to the chemokine receptor CCR5.
7. The polypeptide of claim 6, wherein the glycoprotein is gp120.
8. A pharmaceutical composition comprising an effective amount of the polypeptide of claim 6 effective to inhibit the fusion of HIV-1 to CD4<sup>+</sup> cells and a

-67-

pharmaceutically acceptable carrier.

9. An antibody or a portion of an antibody capable of binding to a chemokine receptor on a CD4<sup>+</sup> cell and inhibiting HIV-1 infection of the cell.  
5
10. A pharmaceutical composition comprising an amount of the antibody of claim 9 effective to inhibit HIV-1 infection of CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.  
10
11. A method of treating an HIV-1 infected subject which comprises administering to the subject the polypeptide of any of claims 1, 2, 3, 4, 6, or 7 in an amount effective to inhibit the fusion of HIV-1 to CD4<sup>+</sup> cells of the subject and thus treat the subject.  
15
12. A method of reducing the likelihood of a subject from becoming infected by HIV-1 which comprises administering to the subject the polypeptide of any of claims 1, 2, 3, 4, 6, or 7 in an amount effective to inhibit the fusion of HIV-1 to CD4<sup>+</sup> cells of the subject and thus reduce the likelihood of HIV-1 infection.  
20
- 25
13. A method for inhibiting HIV-1 infection of CD4<sup>+</sup> cells which comprises contacting such CD4<sup>+</sup> cells with a non-chemokine agent capable of binding to the chemokine receptor CCR5 in an amount and under conditions such that fusion of HIV-1 to the CD4<sup>+</sup> cells is inhibited, thereby inhibiting HIV-1 infection of the cells.  
30
14. The method of claim 13, wherein the non-chemokine agent is an oligopeptide.  
35

-68-

15. The method of claim 13, wherein the non-chemokine agent is a polypeptide.
- 5 16. The method of claim 13, wherein the non-chemokine agent is a nonpeptidyl agent.
- 10 17. A non-chemokine agent capable of binding to the chemokine receptor CCR5 and inhibiting the fusion of HIV-1 to CD4<sup>+</sup> cells.
- 15 18. A pharmaceutical composition comprising an amount of the non-chemokine agent capable of binding to the chemokine receptor CCR5 and inhibiting the fusion of HIV-1 to CD4<sup>+</sup> cells effective to inhibit HIV-1 infection of CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.
- 20 19. A molecule capable of binding to the chemokine receptor CCR5 and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked to a ligand capable of binding to a cell surface receptor of the CD4<sup>+</sup> cells other than the chemokine receptor such that the binding of the non-chemokine agent to the chemokine receptor does not prevent the binding of the ligand to the other receptor.
- 25 20. The molecule of claim 18, wherein the cell surface receptor is CD4.
- 30 21. The molecule of claim 18, wherein the ligand comprises an antibody or a portion of an antibody.
- 35 22. A molecule capable of binding to the chemokine receptor CCR5 and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising a non-chemokine agent linked to a compound

-69-

capable of increasing the *in vivo* half-life of the non-chemokine agent.

23. The molecule of claim 21, wherein the compound is  
5 polyethylene glycol.

24. A pharmaceutical composition comprising an amount of  
the molecule of claim 19, 20, 21, 22 or 23 effective to  
inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a  
10 pharmaceutically acceptable carrier.

25. A method for reducing the likelihood of HIV-1 infection  
in a subject comprising administering the  
pharmaceutical composition of claim 19, 20, 21, 22 or  
15 23 to the subject.

26. A method for treating HIV-1 infection in a subject  
comprising administering the pharmaceutical composition  
of claim 19, 20, 21, 22 or 23 to the subject.  
20

27. A method for determining whether a non-chemokine agent  
is capable of inhibiting the fusion of HIV-1 to a CD4<sup>+</sup>,  
CCR5<sup>+</sup> cell which comprises:  
25  
(a) contacting the CD4<sup>+</sup>, CCR5<sup>+</sup> cell, after it is  
labeled with a first dye, with a cell expressing  
an appropriate HIV-1 envelope glycoprotein on its  
surface, and labeled with a second dye, in the  
presence of an excess of the agent under  
conditions permitting fusion of the CD4<sup>+</sup>, CCR5<sup>+</sup>  
30 cell to the cell expressing the HIV-1 envelope  
glycoprotein on its surface in the absence of an  
agent known to inhibit fusion of HIV-1 to CD4<sup>+</sup>,  
CCR5<sup>+</sup> cells, the first and second dyes being  
selected so as to allow resonance energy transfer  
35 between the dyes;

-70-

5

(b) exposing the product of step (a) to conditions which would result in resonance energy transfer if fusion has occurred; and

(c) determining whether there is resonance energy transfer, the absence or reduction of transfer indicating that the agent is capable of inhibiting fusion of HIV-1 to CD4<sup>+</sup> and CCR5<sup>+</sup> cells.

10 28. The method of claim 27, wherein the agent is an oligopeptide, a polypeptide or a nonpeptidyl agent.

29. The method of claim 27, wherein the CD4<sup>+</sup> cell is a PM1 cell.

15 30. The method of claim 27, wherein the cell expressing the HIV-1 envelope glycoprotein is a HeLa cell expressing HIV-1<sub>JR-FL</sub> gp120/gp41.

20 31. A transgenic nonhuman animal which comprises an isolated DNA molecule encoding the chemokine receptor CCR5.

25 32. The transgenic nonhuman animal of claim 31 further comprising an isolated DNA molecule encoding a sufficient portion of the CD4 molecule to permit binding the HIV-1 envelope glycoprotein.

30 33. A transgenic nonhuman animal which comprises an isolated DNA molecule encoding the chemokine receptor CCR5 and an isolated DNA molecule encoding fusin.

35 34. The transgenic nonhuman animal of claim 33 further comprising an isolated DNA molecule encoding a sufficient portion of the CD4 molecule to permit

-71-

binding the HIV-1 envelope glycoprotein.

35. A transformed cell which comprises an isolated nucleic acid molecule encoding the chemokine receptor CCR5.

5

36. An agent capable of inhibiting HIV-1 infection and capable of binding to a chemokine receptor without substantially affecting the said chemokine receptor's capability to bind to chemokines.

10

37. The agent of claim 36, wherein the said chemokine receptor is CCR5.

15

38. The agent of claim 36, wherein after the binding of the agent to the said chemokine receptor, a two fold higher concentration of the chemokine is required to achieve the degree of binding observed if the chemokine receptor had not been bound to the agent.

20

39. The agent of claim 36, wherein after the binding of the agent to the said chemokine receptor, a ten fold higher concentration of chemokine is required to achieve the degree of binding observed if the chemokine receptor had not been bound to the agent.

25

40. The agent of claim 36, wherein the agent is an oligopeptide, a nonpeptidyl agent or a polypeptide.

30

41. The agent of claim 40, wherein the polypeptide is an antibody or a portion of an antibody.

35

42. A pharmaceutical composition comprising an amount of the agent of claim 37, 38, 39, 40 or 41 effective to inhibit fusion of HIV-1 infection and a pharmaceutically acceptable carrier.

-72-

5           43. A method for inhibiting HIV-1 infection of CD4<sup>+</sup> cells which comprises contacting such CD4<sup>+</sup> cells with an agent capable of inhibiting HIV-1 infection and capable of binding to a chemokine receptor without substantially affecting the said chemokine receptor's capability to bind to chemokines.

10          44. A molecule capable of binding to the chemokine receptor CCR5 and inhibiting fusion of HIV-1 to CD4<sup>+</sup> cells comprising the agent of claim 36 linked to a compound capable of increasing the *in vivo* half-life of the non-chemokine agent.

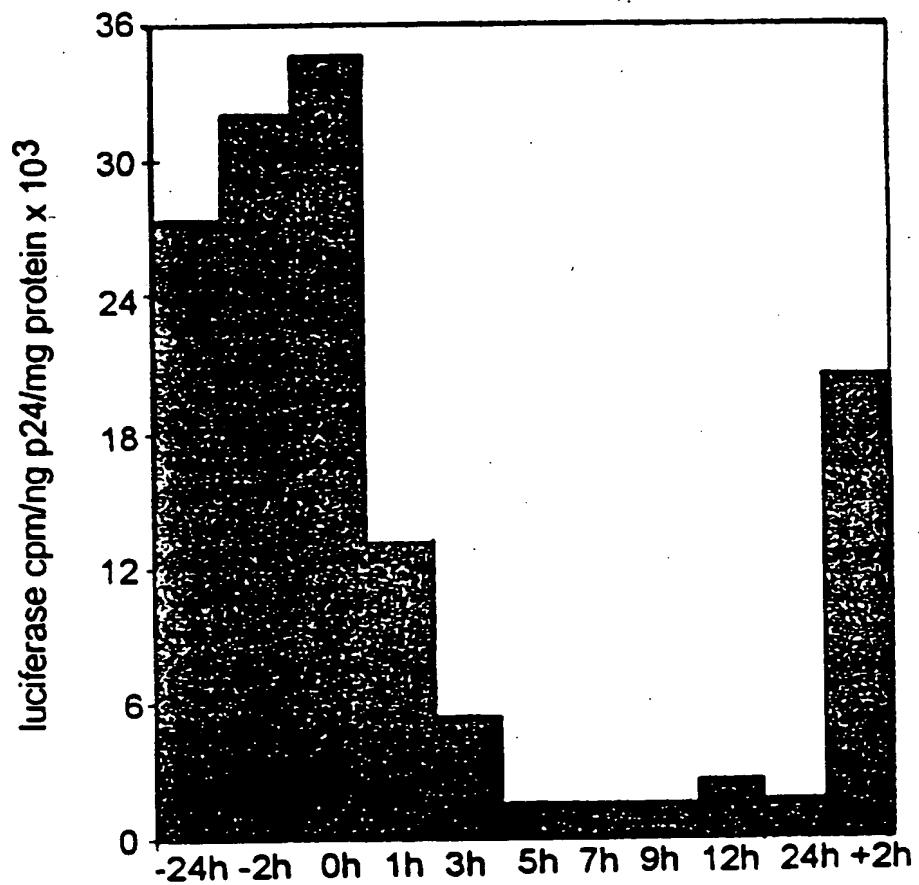
15          45. The molecule of claim 44, wherein the compound is polyethylene glycol.

20          46. A pharmaceutical composition comprising an amount of the molecule of claim 44 or 45 effective to inhibit fusion of HIV-1 to CD4<sup>+</sup> cells and a pharmaceutically acceptable carrier.

25          47. A method for reducing the likelihood of HIV-1 infection in a subject comprising administering the pharmaceutical composition of claim 42 or 46 to the subject.

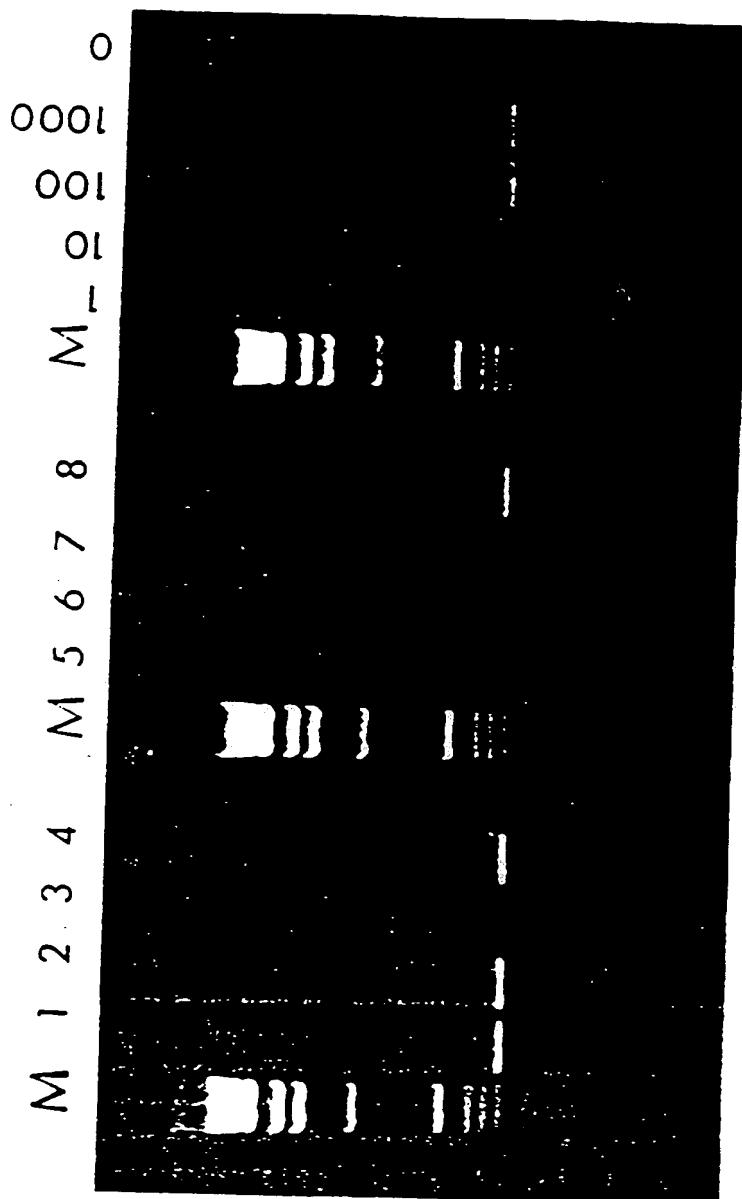
30          48. A method for treating HIV-1 infection in a subject comprising administering the pharmaceutical composition of claim 42 or 46 to the subject.

1/7

**FIG. 1A**

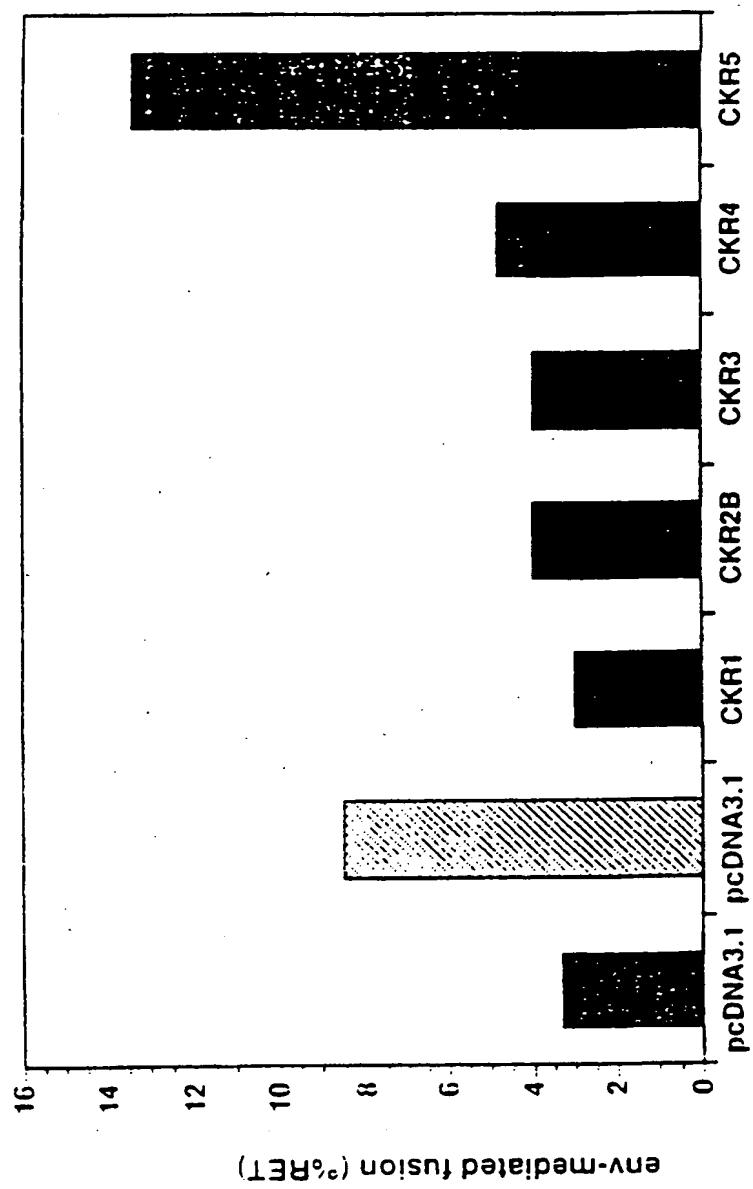
2/7

FIG. 1B



3/7

FIG. 2



4/7

FIG. 3B

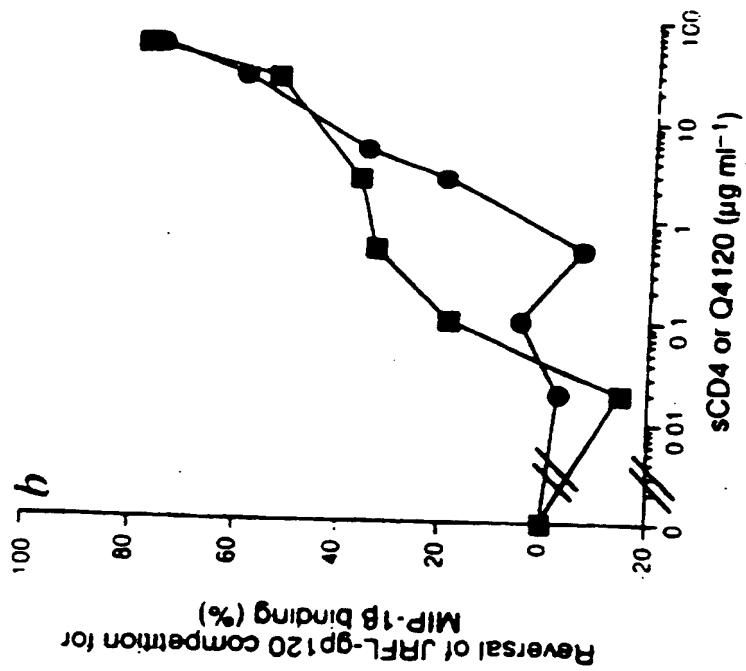
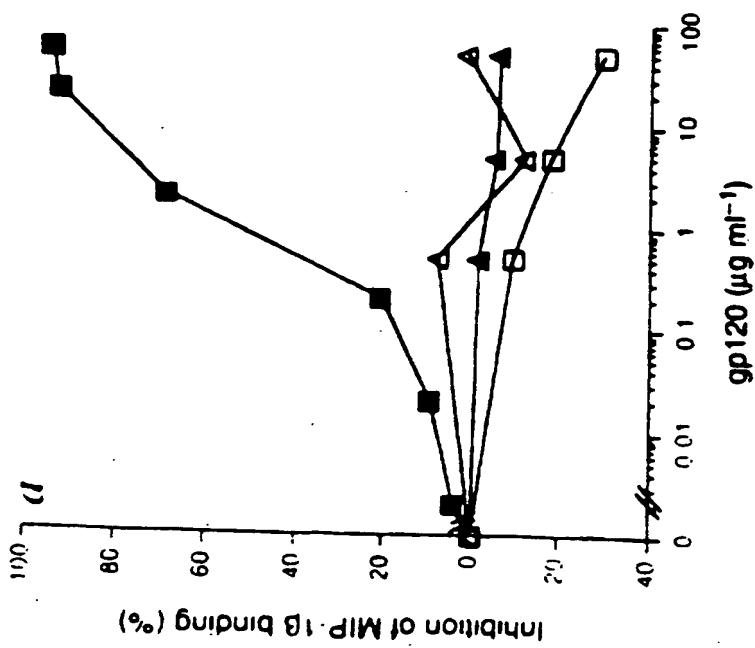


FIG. 3A



5/7

FIG. 4A

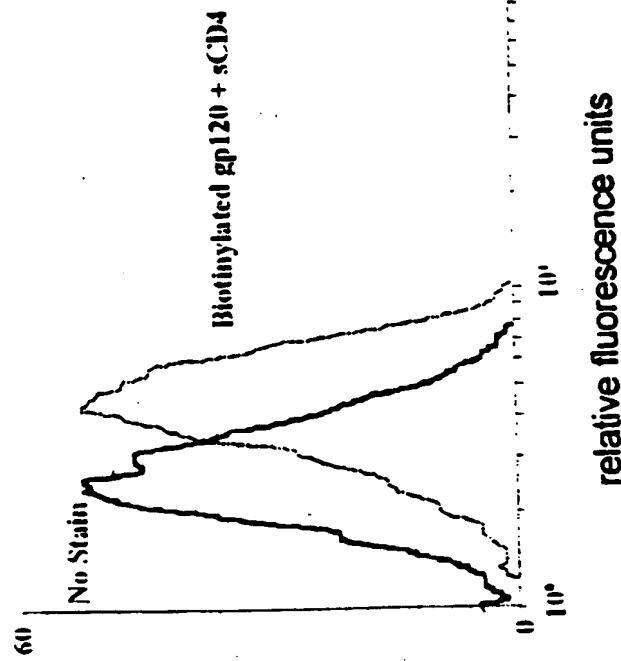
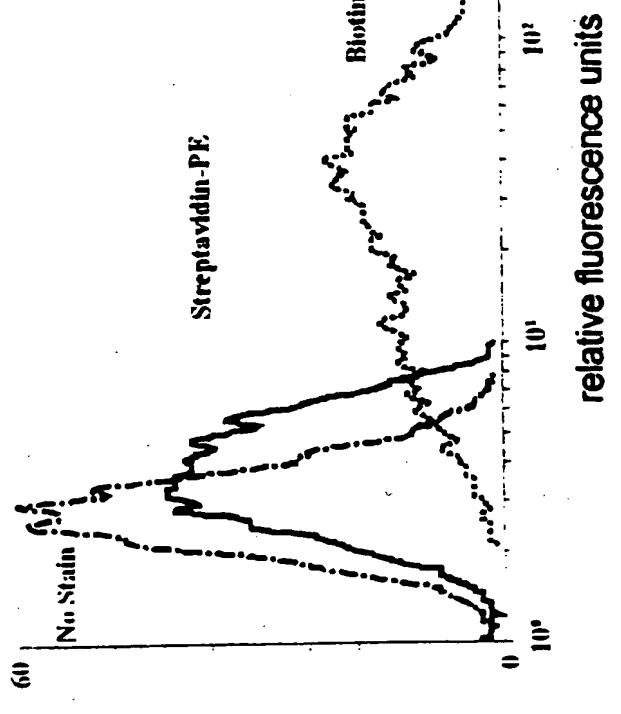
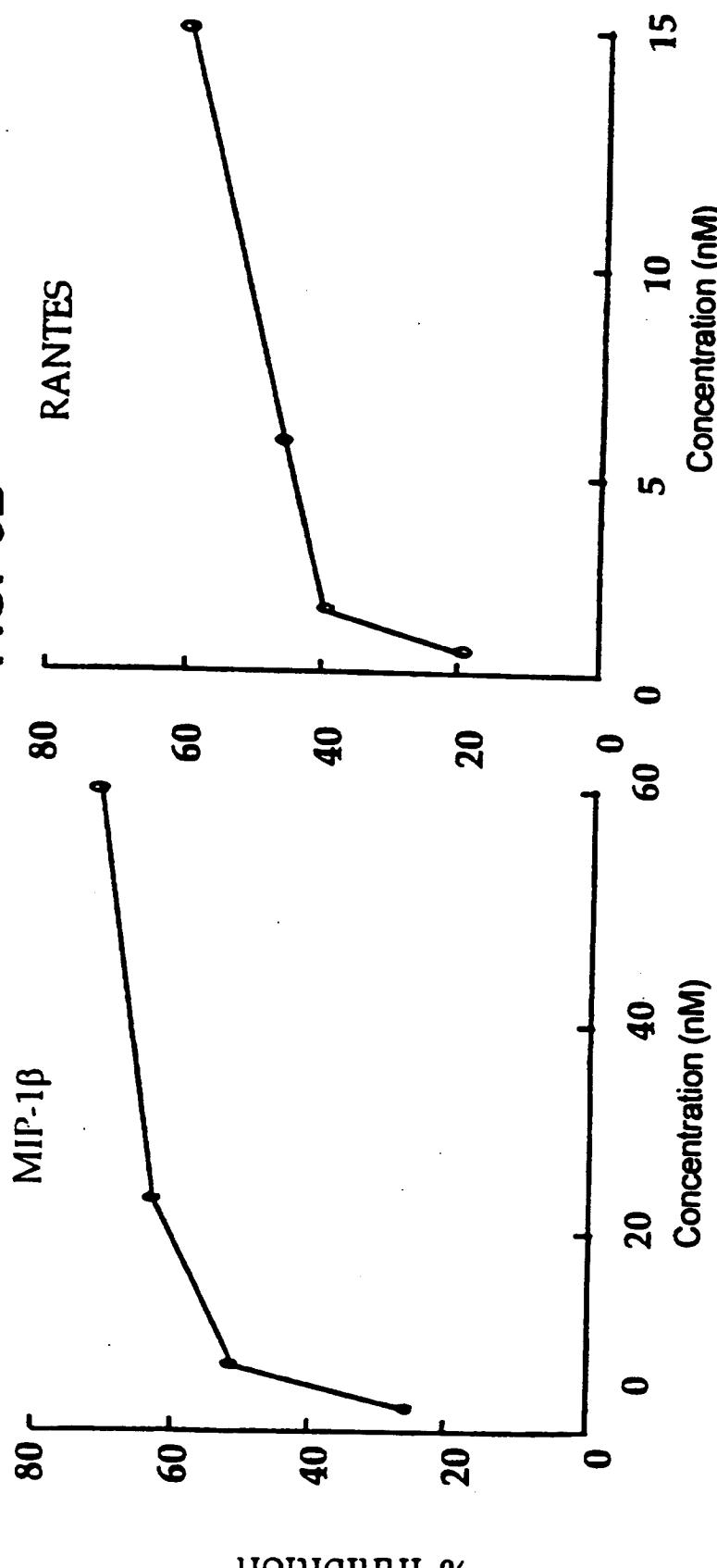
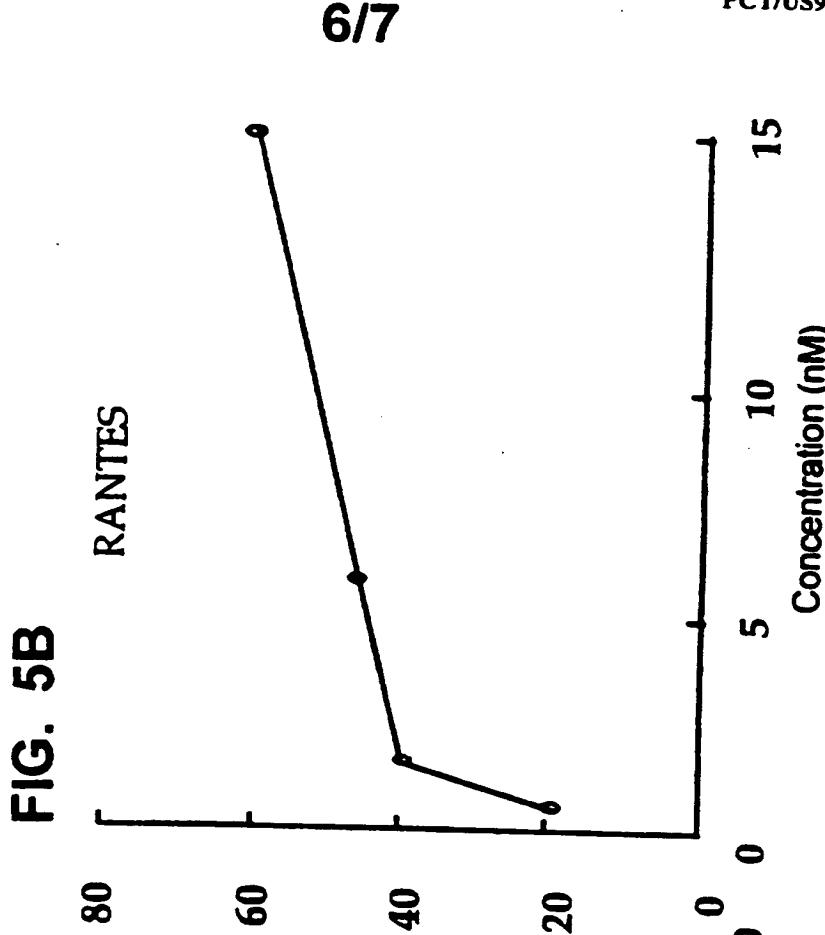


FIG. 4B

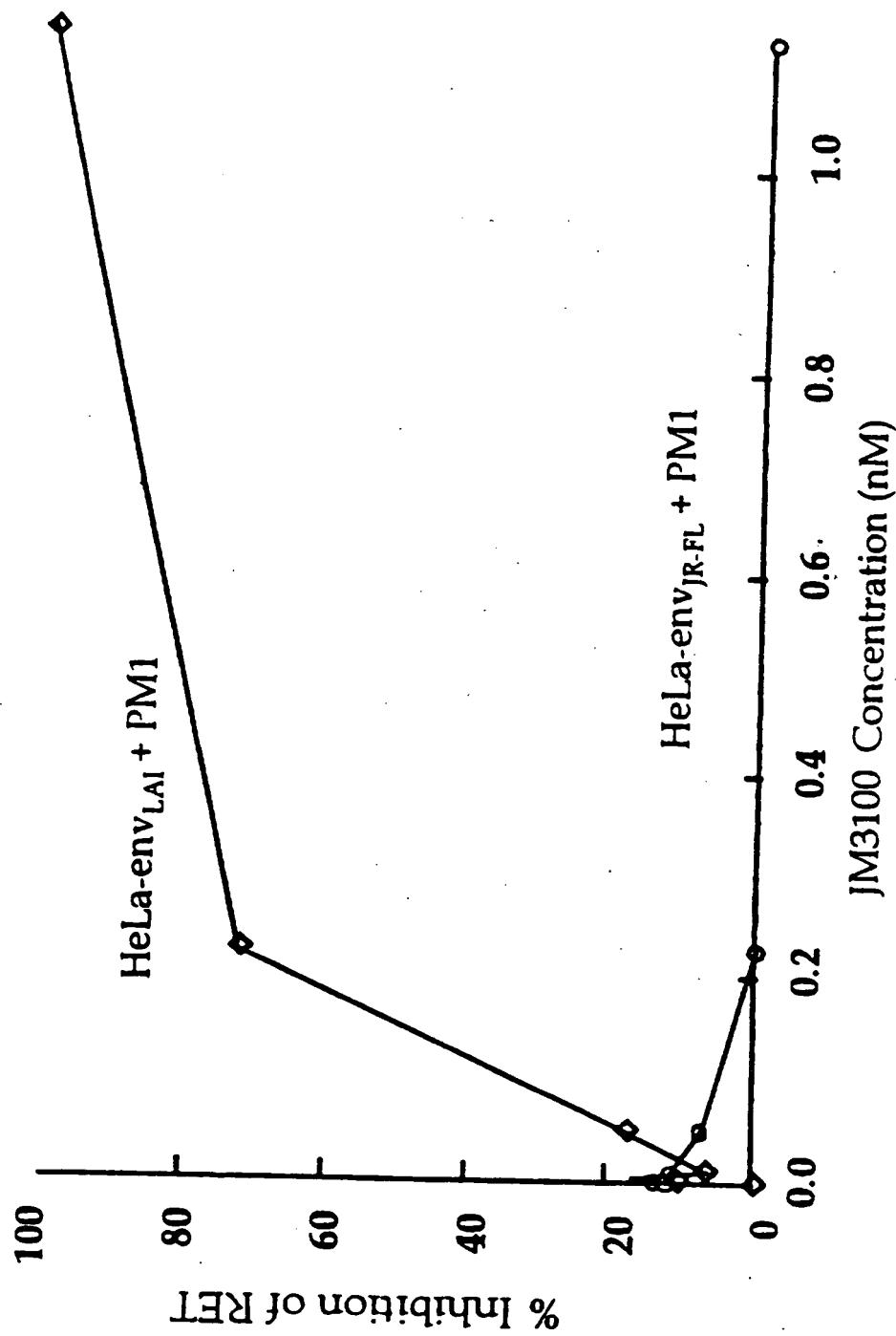


6/7

**FIG. 5A****RANTES****FIG. 5B**

7/7

FIG. 6



## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/10233

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61K 39/21, 39/395, 45/05; C07K 14/16, 14/715, 16/28.  
US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/85.1, 85.2, 144.1, 188.1, 208.1; 530/350, 351, 388.22, 395, 402, 406.

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
APS, DIALOG

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	FENG et al. HIV-1 entry cofactor: Functional cDNA cloning of a seven-transmembrane, G protein-coupled receptor. Science. 10 May 1996. Vol. 272, pages 872-877, see entire document.	1-46
Y,P	DENG et al. Identification of a major co-receptor for primary isolates of HIV-1. Nature. 20 June 1996. Vol. 381, pages 661-666, see entire document.	1-46
Y,P	DRAGIC et al. HIV-1 entry into CD4+ cells is mediated by the chomkine receptor CC-CKR-5. Nature. 20 June 1996, Vol. 381, pages 667-673, see entire document.	1-46

Further documents are listed in the continuation of Box C.

See patent family annex.

- \* Special categories of cited documents:
- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "A" document defining the general state of the art which is not considered to be of particular relevance
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed
- "E" document member of the same patent family

Date of the actual completion of the international search

12 AUGUST 1997

Date of mailing of the international search report

03 SEP 1997

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231  
Facsimile No. (703) 305-3230

Authorized Officer  
ROBERT D. BUDENS

Telephone No. (703) 308-0196

Form PCT/ISA/210 (second sheet)(July 1992)\*

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/10233

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,P	ALKHATIB et al. CC CKR5: A RANTES, MIP-1alpha, MIP-1beta receptor as a fusion cofactor for macrophage-tropic HIV-1. Science. 28 June 1996. Vol. 272, pages 1955-1958, see entire document.	1-46
Y,P	CHOE et al. The $\beta$ -chemokine receptors CCR3 and CCR5 facilitate infection by primary HIV-1 isolates. Cell. 28 June 1996. Vol. 85, pages 1135-1148, see entire document.	1-46
Y,P	DORANZ et al. A dual-tropic primary HIV-1 isolate that uses fusin and the $\beta$ -chemokine receptors CKR-5, CKR-3, and CKR-2b as fusion cofactors. Cell. 28 June 1996. Vol. 85, pages 1149-1158, see entire document.	1-46
Y,P	LITWIN et al. Human immunodeficiency virus type 1 membrane fusion mediated by a laboratory-adapted strain and a primary isolate analyzed by resonance energy transfer. Journal of Virology. September 1996. Vol. 70, No. 9, pages 6437-6441, see entire document.	1-46
Y,P	TRKOLA et al. CD4-dependent, antibody-sensitive interactions between HIV-1 and its co-receptor CCR-5. Nature. 14 November 1996. Vol. 384, pages 184-187, see entire document.	1-46
Y,P	MCKNIGHT et al. Inhibition of human immunodeficiency virus fusion by a monoclonal antibody to a coreceptor (CXCR4) is both cell type and virus strain dependent. Journal of Virology. February 1997. Vol. 71, No. 2, pages 1692-1696, see entire document.	9-10, 17-18, 36-42
Y	DE CLERCQ et al. Highly potent and selective inhibitor of human immunodeficiency virus by the bicyclam derivative JM3100. Antimicrobial Agents and Chemotherapy. April 1994. Vol. 38, No. 4, pages 668-674, see entire document.	16-17, 28, 40
Y	DE CLERCQ et al. Antiviral therapy for human immunodeficiency virus infections. Clinical Microbiology Reviews. April 1995. Vol. 8, No. 2, pages 200-239, see entire document.	16-17, 28, 40
Y	SZABO et al. CD4 changes conformation upon ligand binding. The Journal of Immunology. 01 December 1992. Vol. 149, No. 11, pages 3596-3604, see entire document.	27-30
Y	KLOTMAN et al. Transgenic models of HIV-1. AIDS. 29 March 1995. Vol. 9, No. 4, pages 313-324, see entire document.	31-34

Form PCT/ISA/210 (continuation of second sheet)(July 1992)\*

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US97/10233

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This international report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1.  Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:
  
2.  Claims Nos.:  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
  
3.  Claims Nos.: 47-48  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

Please See Extra Sheet.

1.  As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2.  As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3.  As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
  
4.  No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

**INTERNATIONAL SEARCH REPORT**

International application No.

PCT/US97/10233

**A. CLASSIFICATION OF SUBJECT MATTER:**  
**US CL :**

424/85.1, 85.2, 144.1; 188.1, 208.1; 530/350, 351, 388.22, 395, 402, 406.

**BOX II. OBSERVATIONS WHERE UNITY OF INVENTION WAS LACKING**

This ISA found multiple inventions as follows:

This application contains the following inventions or groups of inventions which are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for all inventions to be searched, the appropriate additional search fees must be paid.

- Group I, claim(s) 1-5, drawn to polypeptides of CCR5.
- Group II, claim(s) 6-8, drawn to polypeptides of HIV gp120 which bind CCR5.
- Group III, claim(s) 9-10, 17-18, and 36-42, drawn to antibodies which bind CCR5.
- Group IV, claim(s) 11, drawn to a method of treating HIV infection using CCR5 or gp120.
- Group V, claim(s) 12, drawn to a method of preventing HIV infection using CCR5 or gp120.
- Group VI, claim(s) 13-16 and 43, drawn to methods of inhibiting HIV-1 infection using a non-chemokine CCR5 ligand.
- Group VII, claim(s) 19-21 and 24, drawn to a composition containing a CCR5 ligand and an additional ligand.
- Group VIII, claim(s) 22-24 and 44-46, drawn to pegylated CCR5 ligands.
- Group IX, claim(s) 25 and 47, drawn to methods of preventing HIV infection using a non-chemokine CCR5 ligand.
- Group X, claim(s) 26 and 48, drawn to methods of treating HIV infection using a non-chemokine CCR5 ligand.
- Group XI, claim(s) 27-30, drawn to screening assays for CCR5 ligands.
- Group XII, claim(s) 31-34, drawn to transgenic animals.
- Group XIII, claim(s) 35, drawn to a transformed host cell expressing CCR5.

The inventions listed as Groups I-III, VII-VIII and XII-XIII do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The inventions of groups I-III, VII-VIII and XII-XIII are directed to different products which differ in their chemical structure, function and biological activity and are not so linked by a special technical feature within the meaning of PCT Rule 13.2 so as to form a single general inventive concept.

The inventions listed as Groups IV-VI and IX-XI do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: the inventions of groups IV-VI and IX-XI are directed to different methods of treating and/or preventing HIV infection which differ in their uses, method steps, and reagents and are not so linked by a special technical feature within the meaning of PCT Rule 13.2 so as to form a single general inventive concept.

The inventions listed as Groups I-III, VII-VIII and XII-XIII and the inventions listed as Groups IV-VI and IX-XI do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons: The products of the inventions of Groups I-III, VII-VIII and XII-XIII differ from the methods of the inventions of Groups IV-VI and IX-XI. Further, the products of Groups I-III, VII-VIII and XII-XIII can be used in multiple methods as evidenced by the inventions of Groups IV-VI and IX-XI and, therefore, the inventions of Groups I-III, VII-VIII and XII-XIII and the inventions of Groups IV-VI and IX-XI are not so linked by a special technical feature within the meaning of PCT Rule 13.2 so as to form a single general inventive concept.

This application contains claims directed to more than one species of the generic invention. These species are deemed to lack Unity of Invention because they are not so linked as to form a single inventive concept under PCT Rule 13.1. In order for more than one species to be searched, the appropriate additional search fees must be paid. The species are as follows:

- Species 1, claims 11 and 12, directed to CCR5
- Species 2, claims 11 and 12, directed to gp120
- Species 3, claims 25 and 26, directed to a composition containing a CCR5 ligand and an additional ligand.
- Species 4, claims 25 and 26, directed to a composition containing pegylated CCR5 ligand.

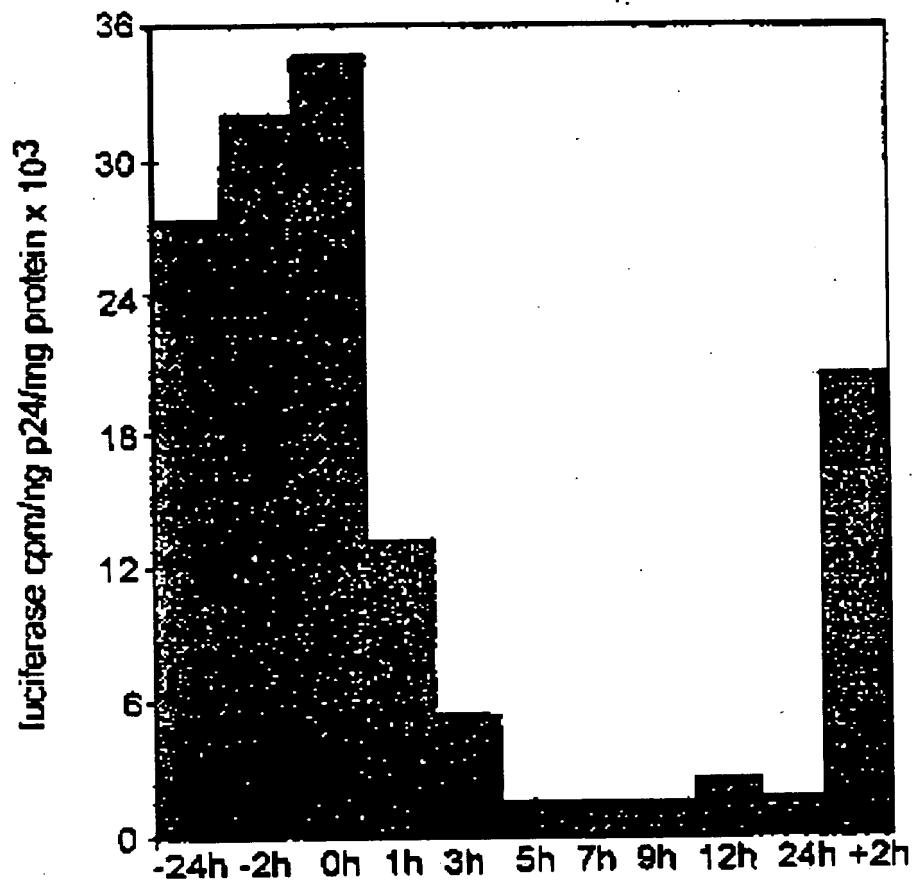
The species listed above do not relate to a single inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, the species lack the same or corresponding special technical features for the following reasons: The species set forth above are directed to the use of products which differ in their chemical composition, structure, function and biological activity and are not so linked by a special technical feature within the meaning of PCT Rule 13.2 so as to

**INTERNATIONAL SEARCH REPORT**

International application No.  
PCT/US97/10233

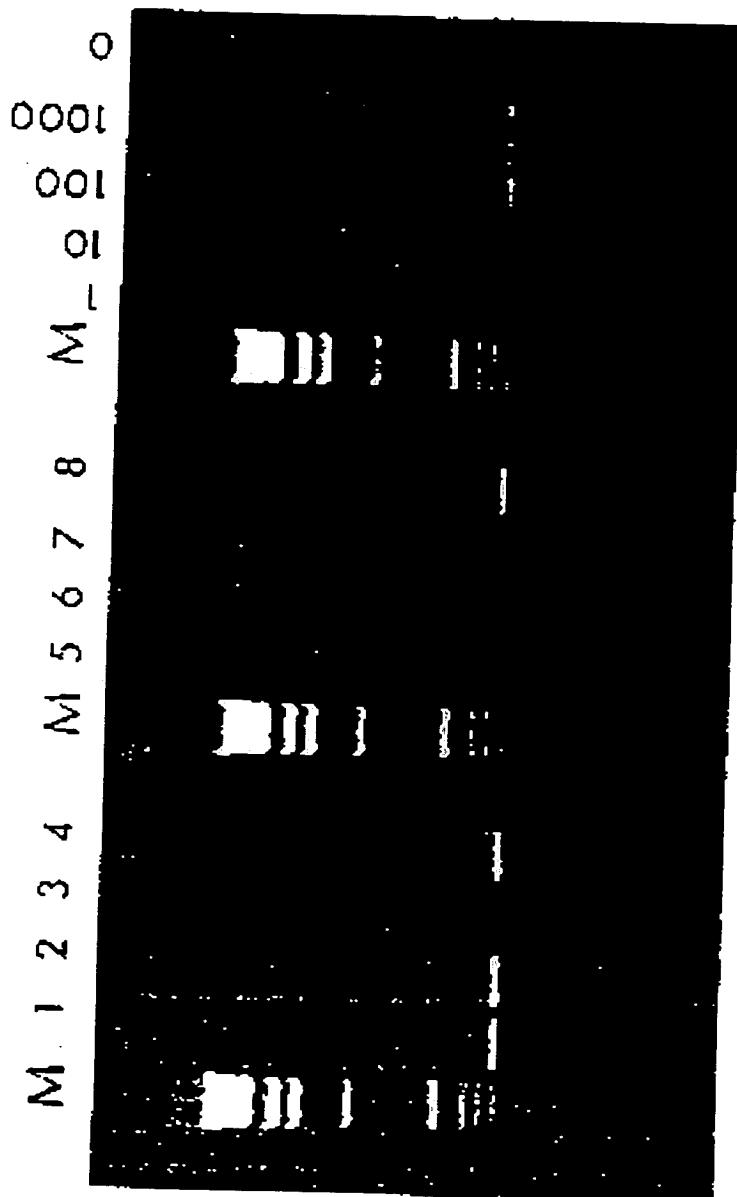
form a single general inventive concept.

1/7

**FIG. 1A**

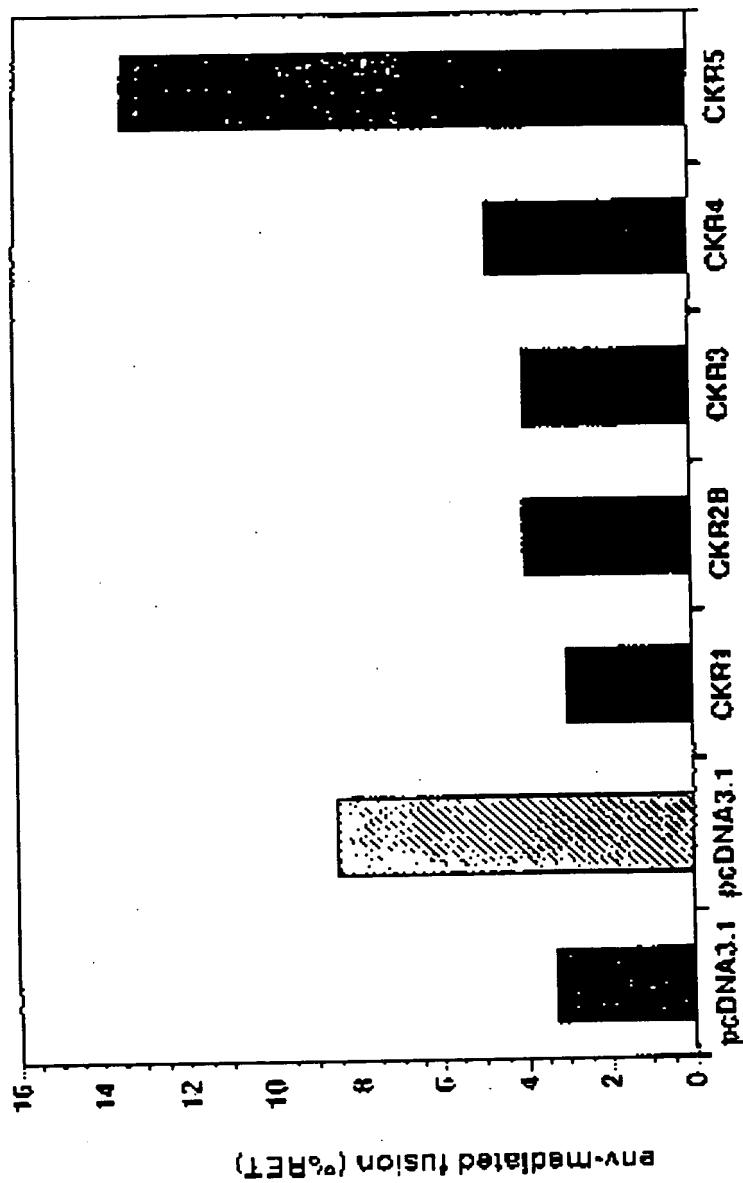
2/7

FIG. 1B



3/7

FIG. 2



4/7

FIG. 3B

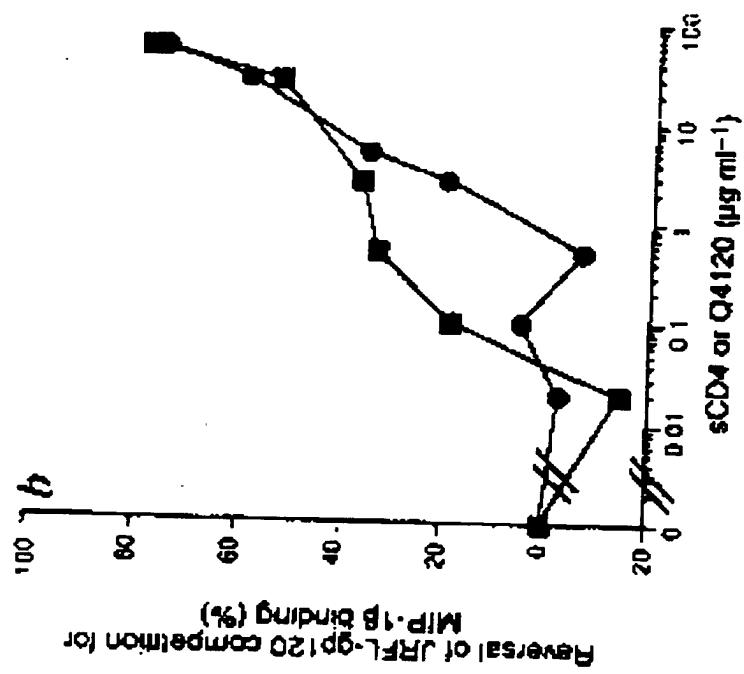
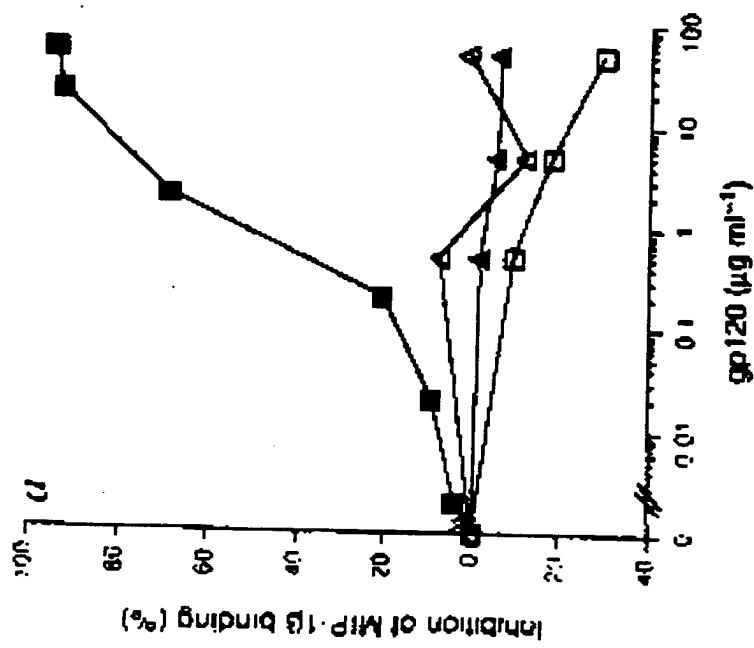
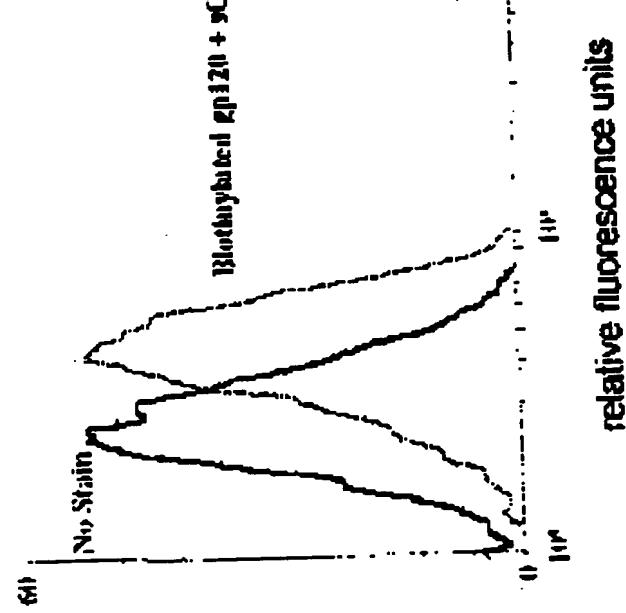
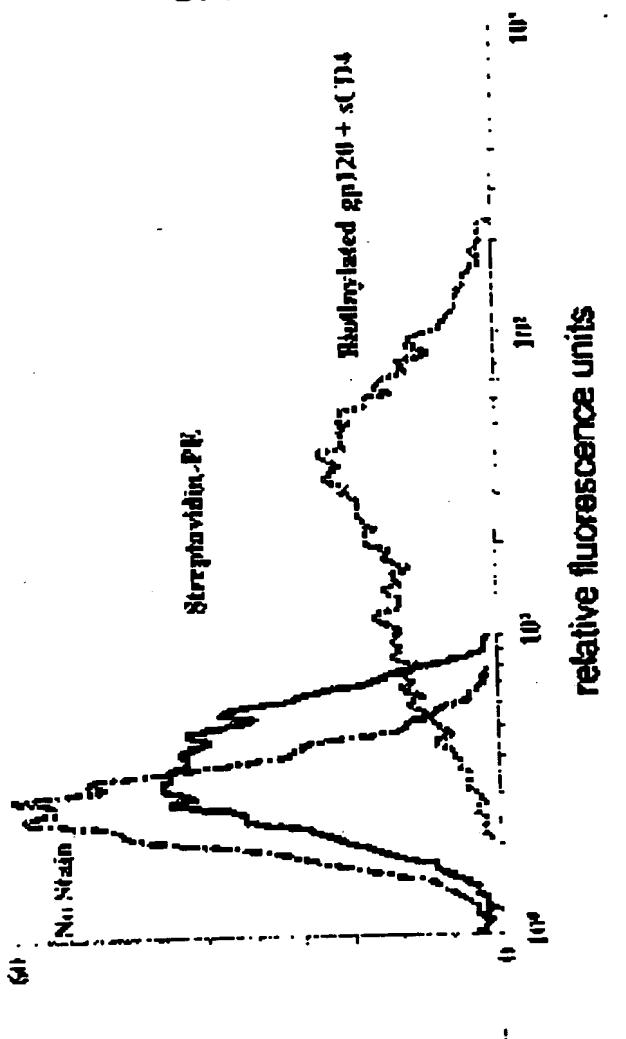


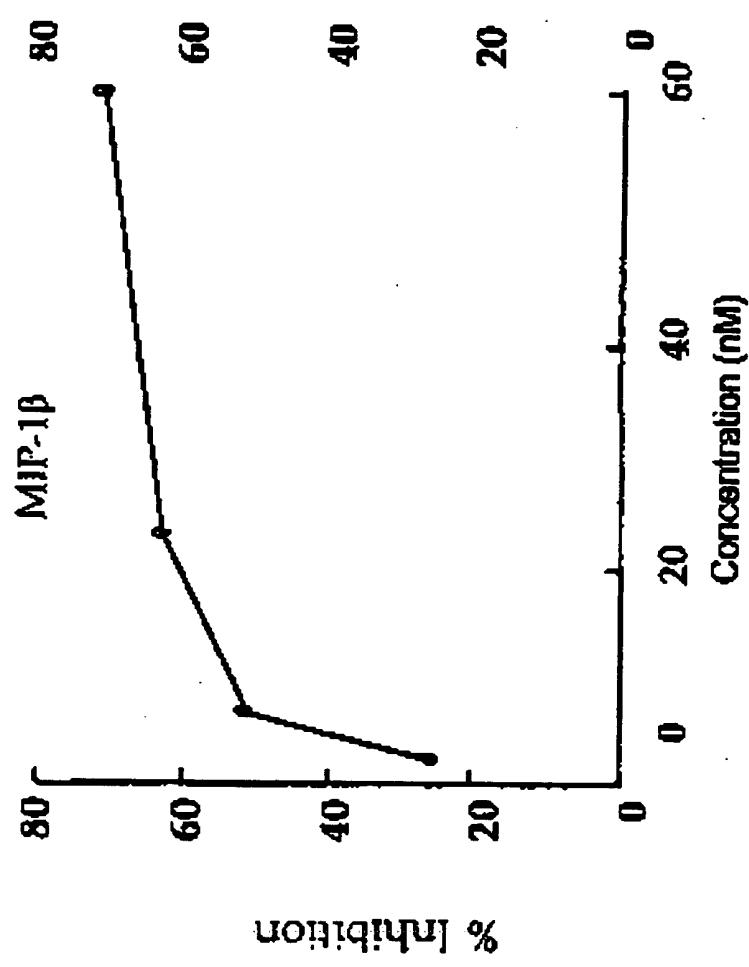
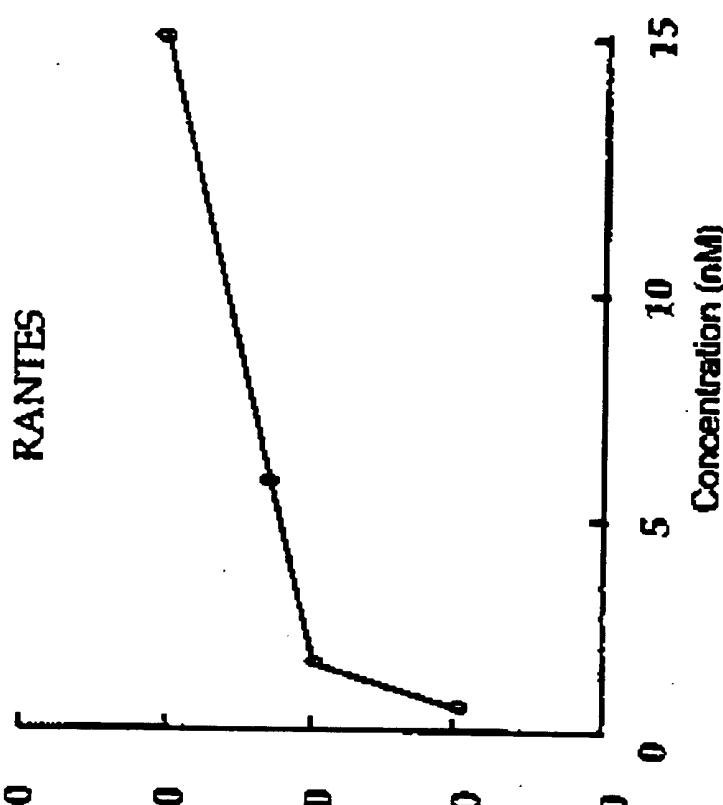
FIG. 3A



5/7

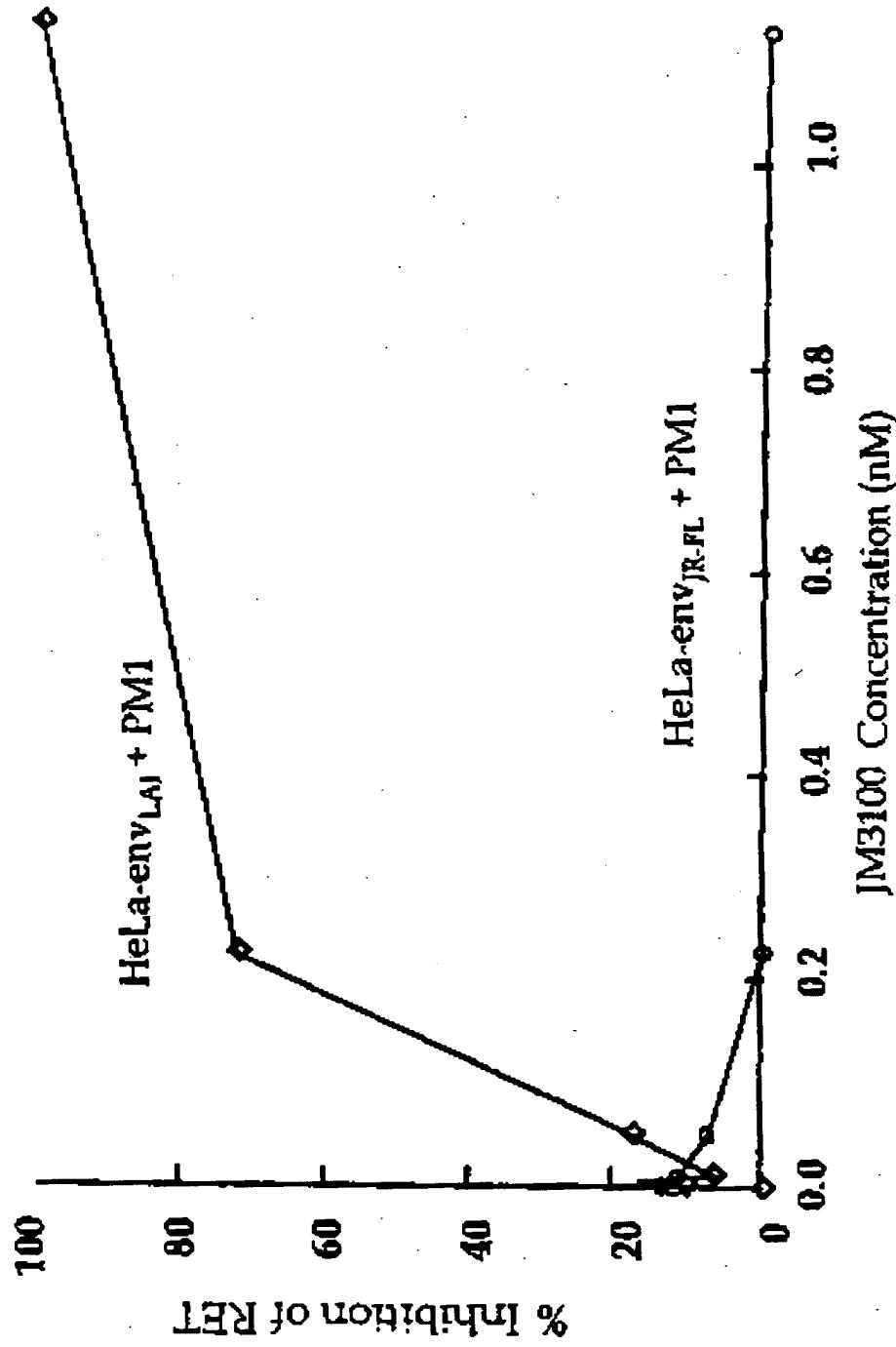
**FIG. 4A****FIG. 4B**

6/7

**FIG. 5A****FIG. 5B**  
RANTES

7/7

FIG. 6



THIS PAGE BLANK (USPTO)